

# Interpretable Deep Learning under Fire

**Xinyang Zhang**<sup>1</sup> Ningfei Wang<sup>2</sup> Hua Shen<sup>1</sup> Shouling Ji<sup>3,4</sup> Xiapu Luo<sup>5</sup> Ting Wang<sup>1</sup>

<sup>1</sup>Pennsylvania State University, <sup>2</sup>UC Irvine,  
<sup>3</sup>Zhejiang University, <sup>4</sup>Ant Financial, <sup>5</sup>Hong Kong Polytechnic University



# DNN Interpretability

## Lack of interpretability

- *How does a DNN arrive at a particular decision?*

## Intensive research on interpreting DNNs

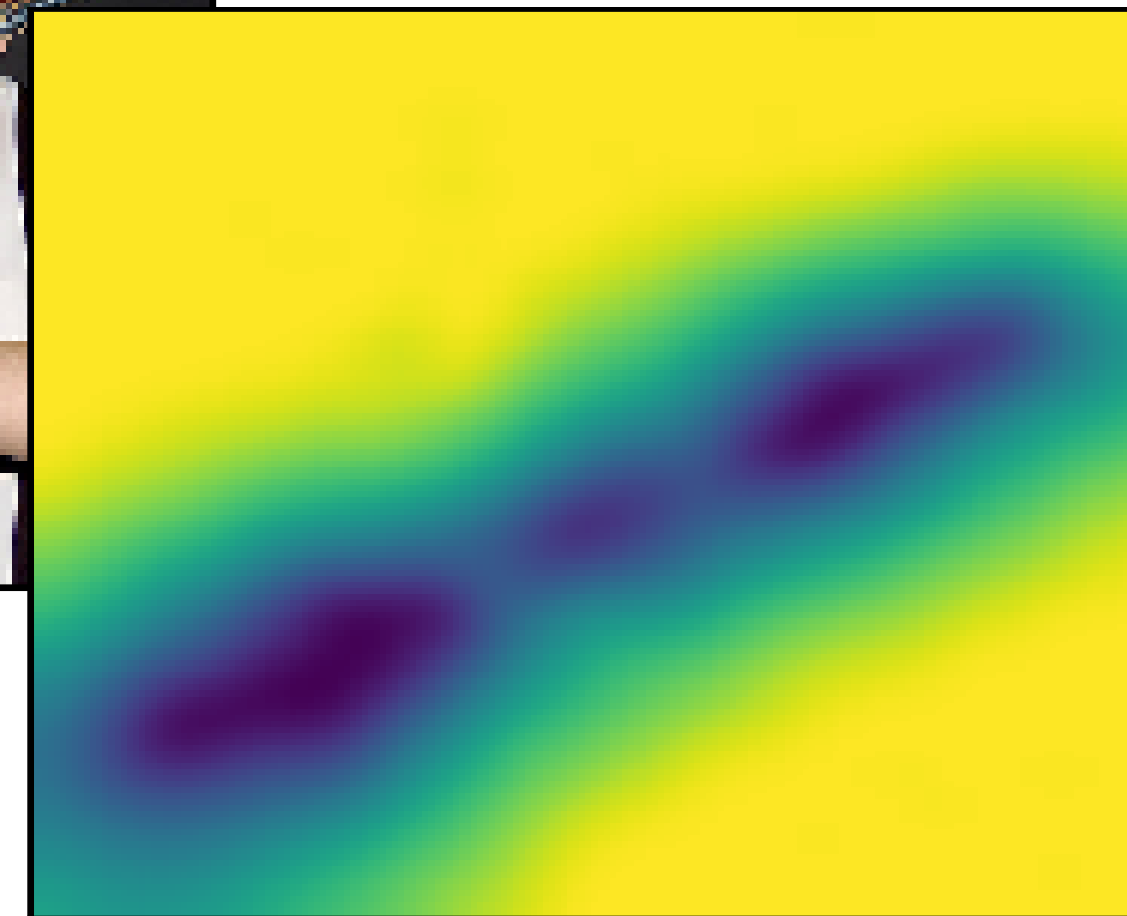
- Backprop-guided
- Representation-guided
- Perturbation-guided
- Model-based



“flute”: 0.9973



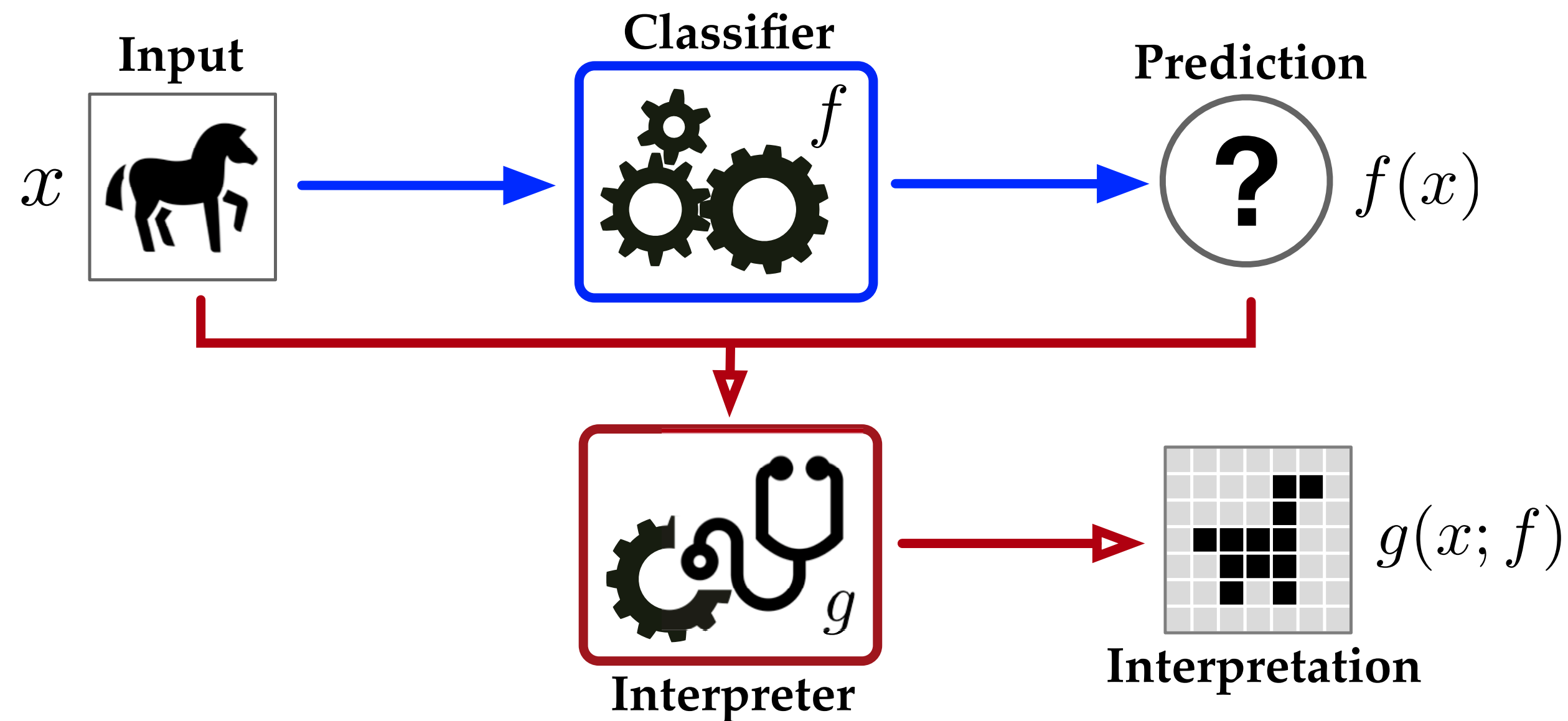
Attribution Map



# Interpretable Deep Learning System

## Interpretable deep learning system (IDLS)

- Consisting of DNN (classifier) and interpretation model (interpreter)
- Involving humans in the decision-making process
- Requiring the adversary to fool both classifier and interpreter



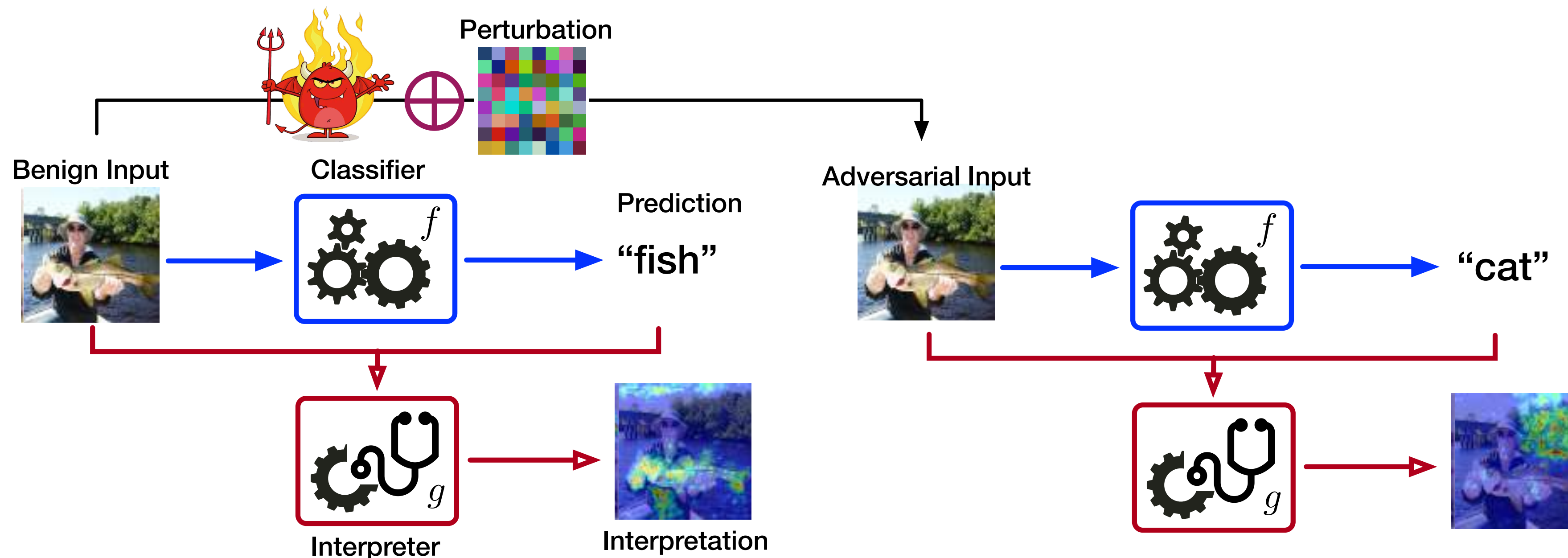
# Interpretability = Security?

## Goal

- Understanding the security vulnerabilities of IDLSes

## Approach

- Developing attacks that simultaneously fool classifier and interpreter





# ADV<sup>2</sup> Attack

## Overall formulation

1. Triggering target prediction  $c_t$  and target interpretation  $m_t$
2. Minimizing perturbation magnitude  $\Delta(x, x_o)$

$$\min_x \Delta(x, x_o) \quad \text{s.t.} \quad \begin{cases} f(x) = c_t \\ g(x; f) = m_t \end{cases}$$

## Regularized optimization

$$\begin{aligned} \min_x \quad & \ell_{\text{prd}}(f(x), c_t) + \lambda \ell_{\text{int}}(g(x; f), m_t) \\ \text{s.t.} \quad & \Delta(x, x_o) \leq \varepsilon \end{aligned}$$

# Attack Instantiation

## Backprop-guided interpretation

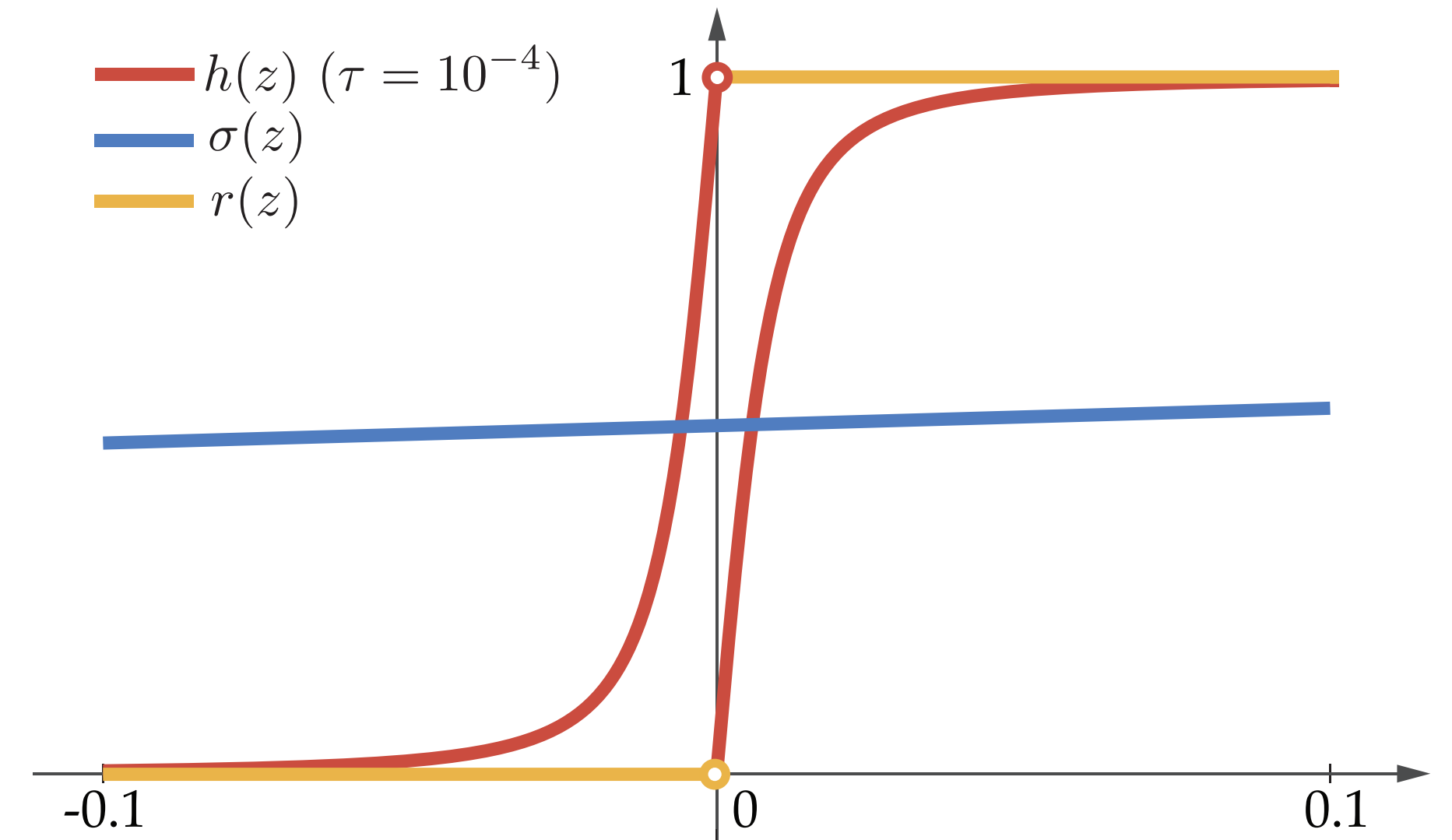
- Gradient saliency (GRAD) interpreter

$$m = \left| \frac{\partial f_c(x)}{\partial x} \right|$$

- Gradient enhancement for ReLU

$$h(z) \triangleq \begin{cases} (z + \sqrt{z^2 + \tau})' = 1 + z/\sqrt{z^2 + \tau} & (z < 0) \\ (\sqrt{z^2 + \tau})' = z/\sqrt{z^2 + \tau} & (z \geq 0) \end{cases}$$

- Label smoothing to avoid gradient saturation



# Attack Instantiation (cont.)

## Perturbation-guided interpretation

- MASK interpreter

$$\min_m f_c(\phi(x; m)) + \lambda \|1 - m\|_1 \quad \text{s.t. } 0 \leq m \leq 1$$

- A bi-level optimization formulation

$$\begin{aligned} \min_x \quad & \ell_{\text{adv}}(x, m_*(x)) \\ \text{s.t.} \quad & m_*(x) = \arg \min_m \ell_{\text{map}}(m; x) \end{aligned}$$

- Updating  $m_*$  estimate and  $x$  alternatively
- Stabilizing optimization with imbalanced update and periodical reset

# Evaluation

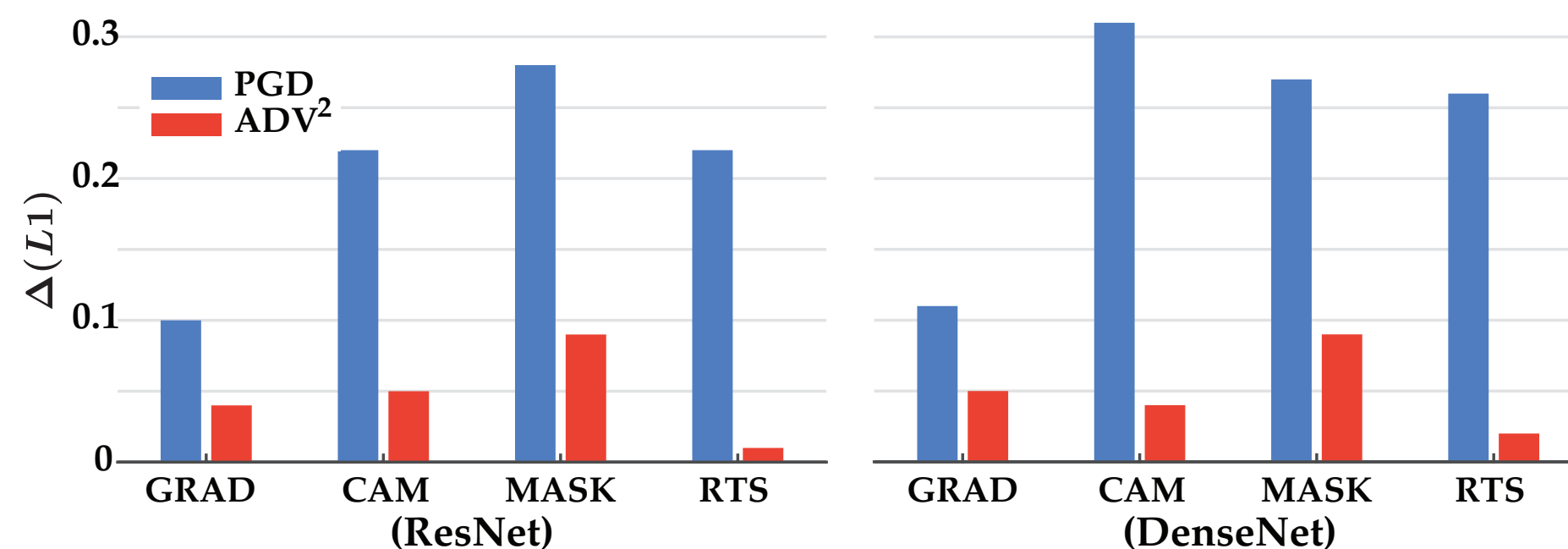
- Attack effectiveness (misclassification)

Setting:

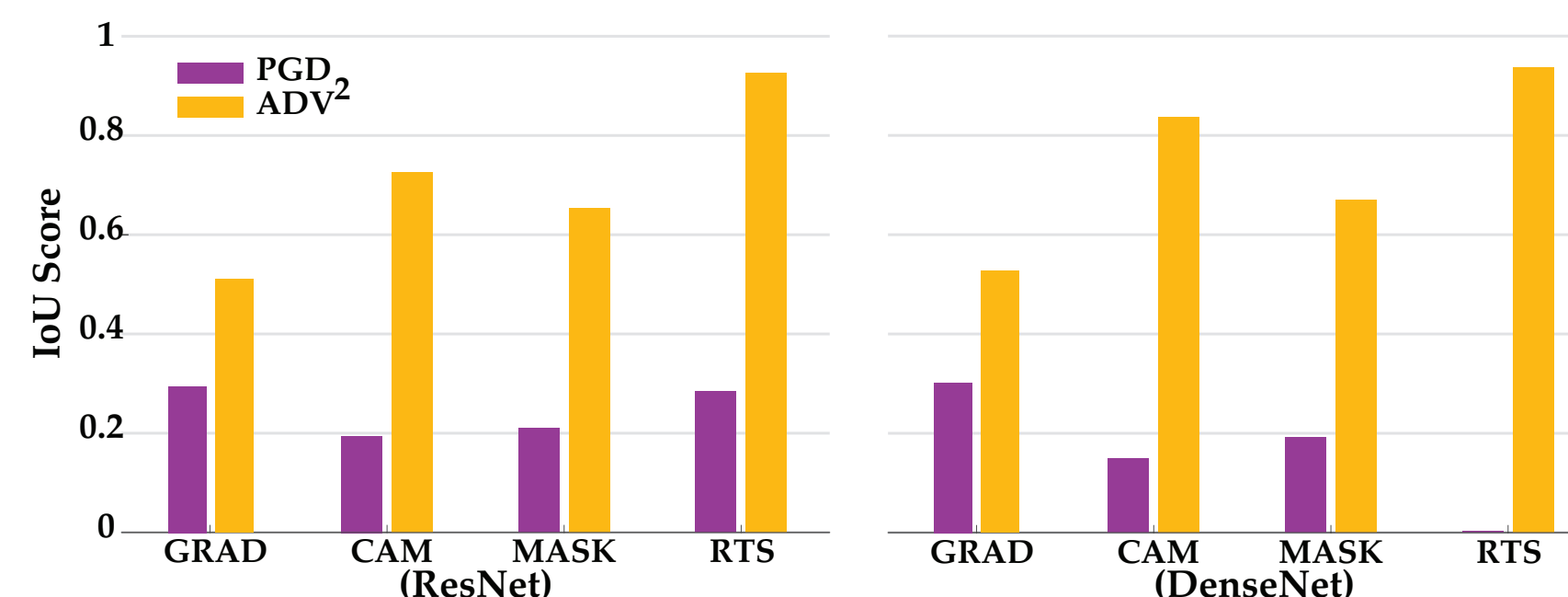
- Dataset — ImageNet
- Classifier — ResNet-50, DenseNet-169
- Interpreter — GRAD, CAM, MASK, RTS
- Attack model — PGD, ADV<sup>2</sup>
- Target interpretation — benign attribute map

Classifier	ResNet				DenseNet			
Interpreter	GRAD	CAM	MASK	RTS	GRAD	CAM	MASK	RTS
PGD	100% (1.0)				100% (1.0)			
ADV2	100% (0.99)	100% (1.0)	98% (0.99)	100% (1.0)	100% (0.98)	100% (1.0)	96% (0.98)	100% (1.0)

- Attack effectiveness (misinterpretation)



$L_1$  distance between benign and adversarial attribution maps.

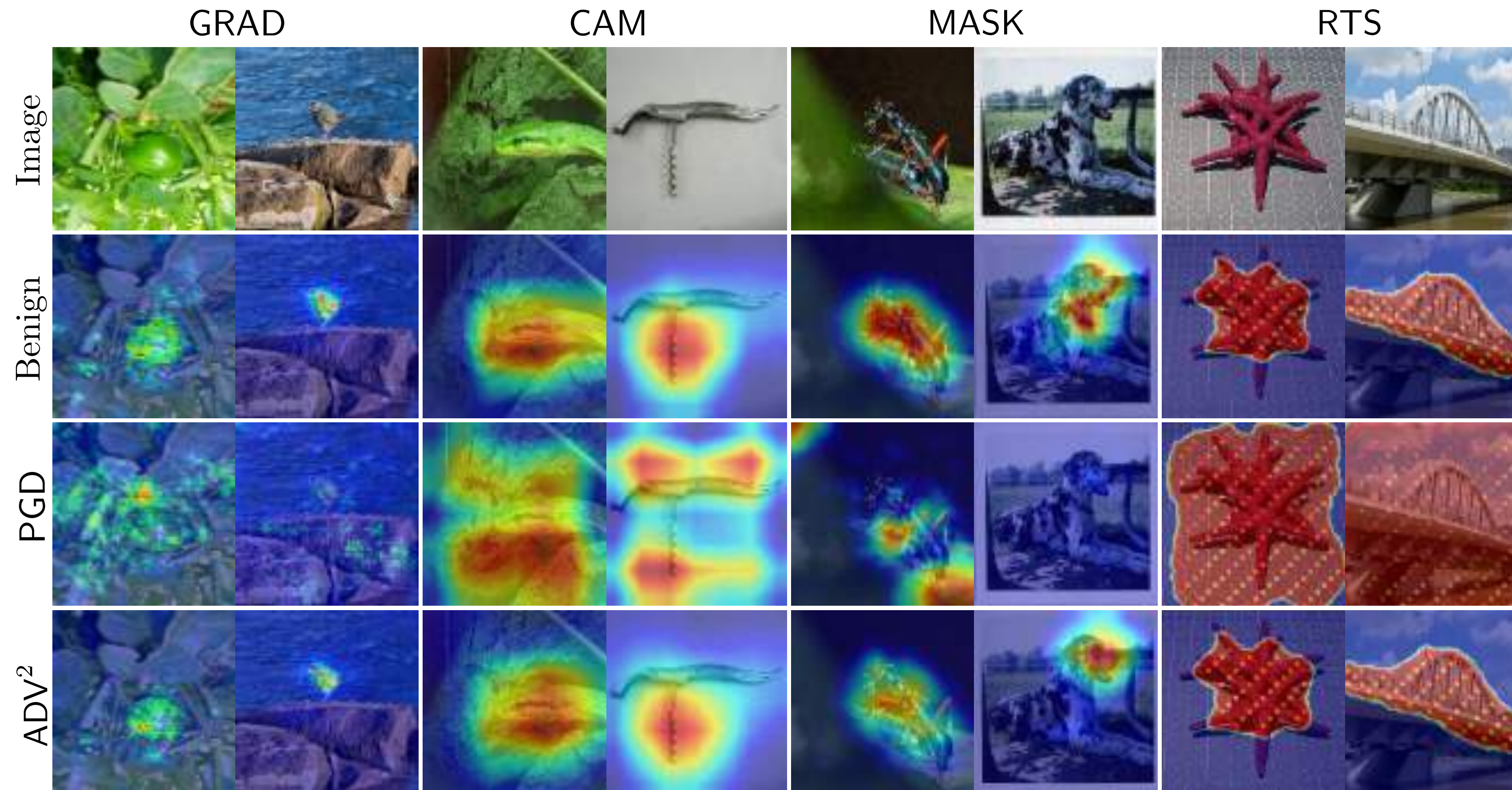


Intersection-of-union (IOU) of benign and adversarial attribution maps.



# Evaluation (cont.)

- Sample inputs, predictions, and interpretations



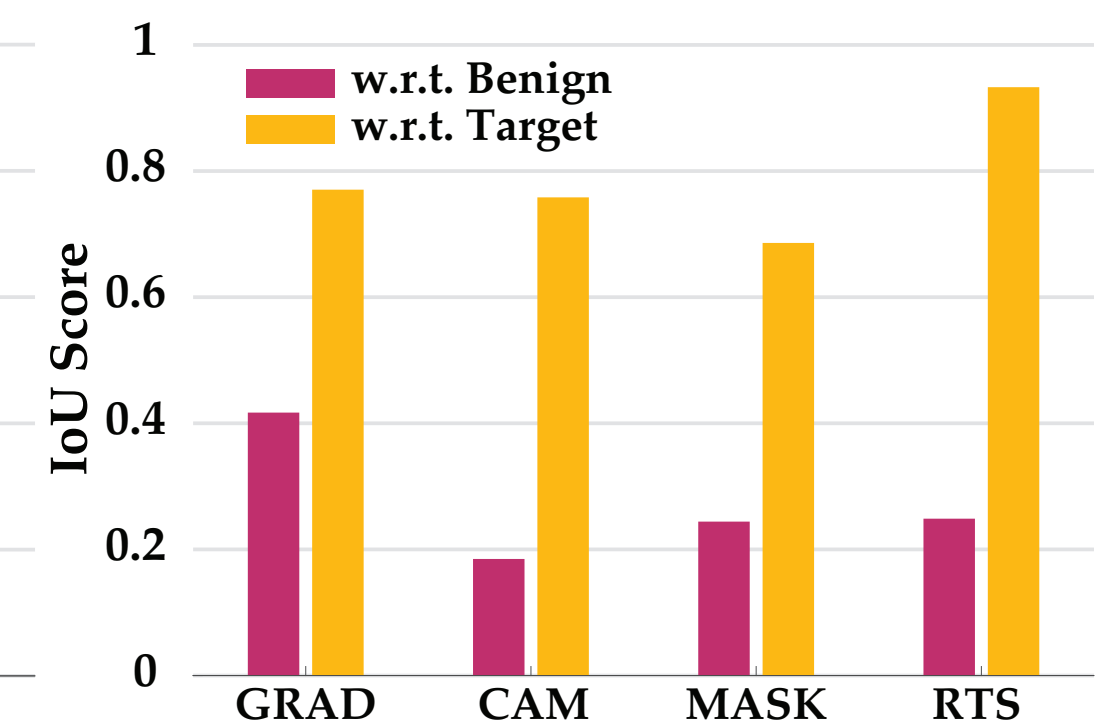
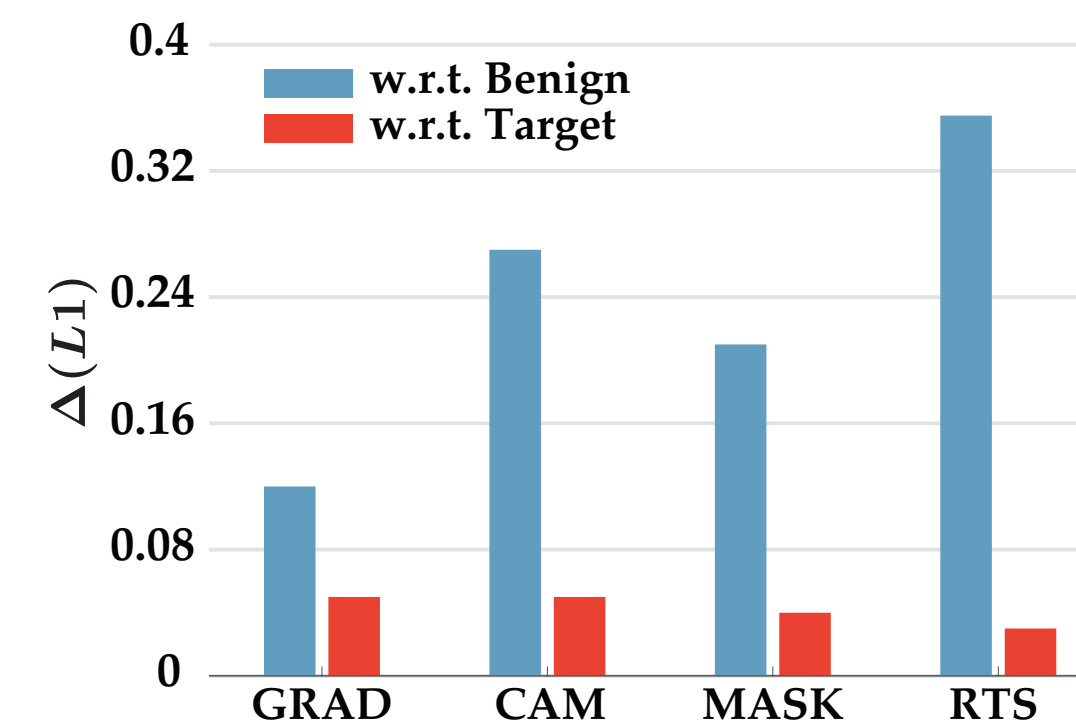
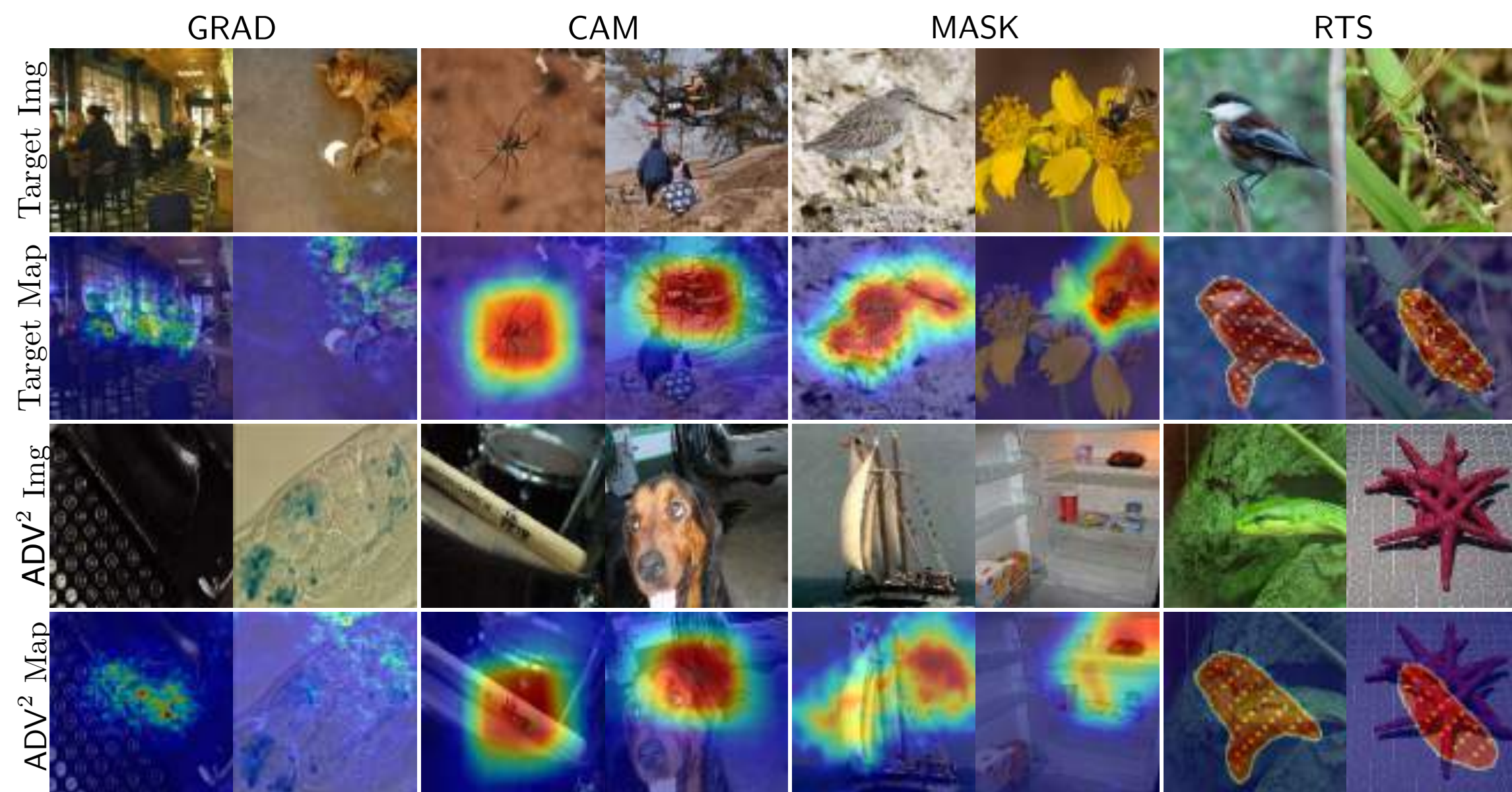


# Root of Attack Vulnerability

Conjecture: prediction-interpretation gap

- Interpreter's explanations only partially describe classifier's predictions, making it practical to exploit both models simultaneously.

Observation: random class interpretation



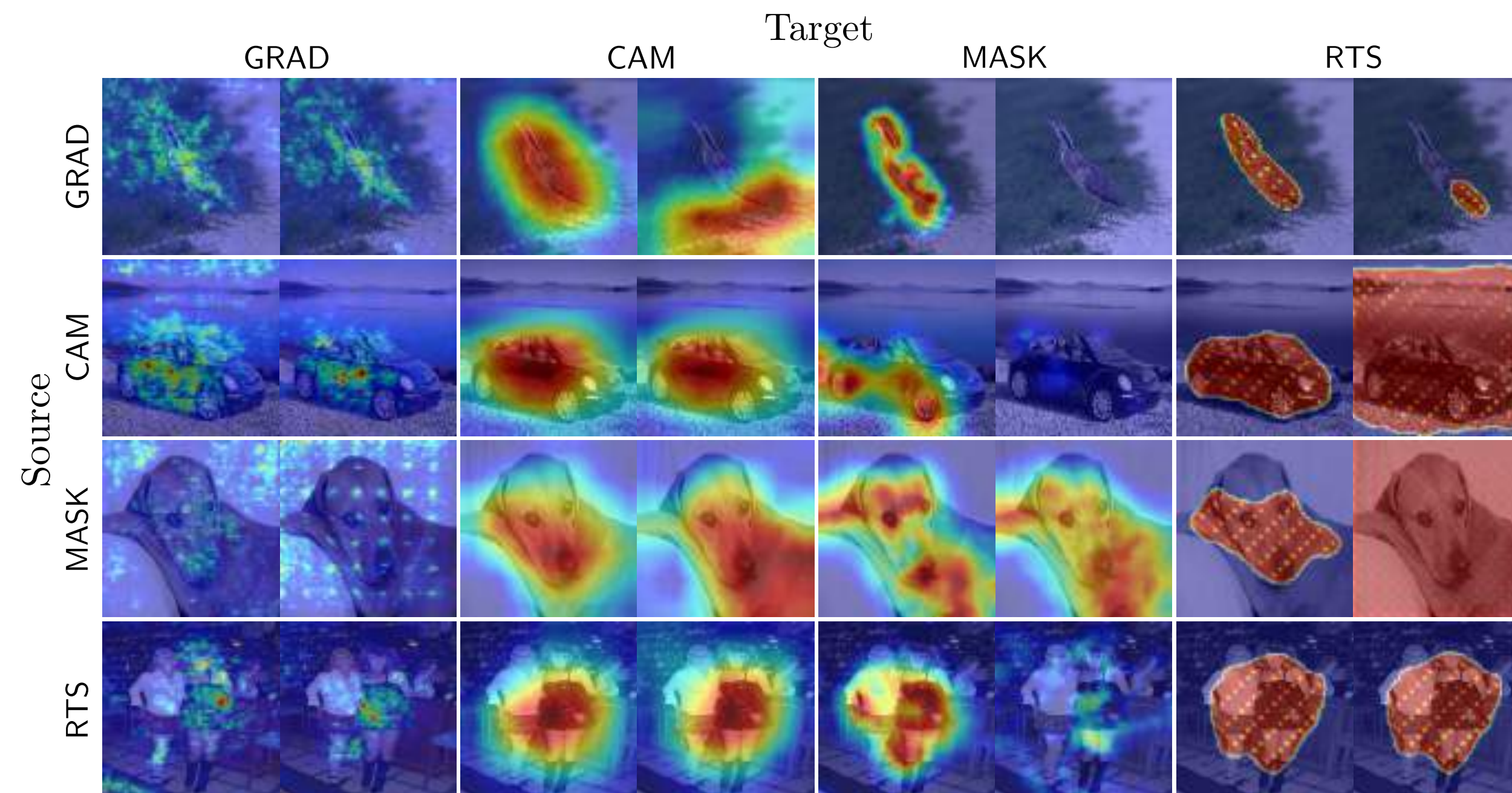


# Root of Prediction-Interpretation Gap

Conjecture: limitations of existing interpretation models

- Different interpreters focus on distinct aspects of DNN behaviors (e.g., gradient, intermediate representations, etc.)

Observation: low attack transferability





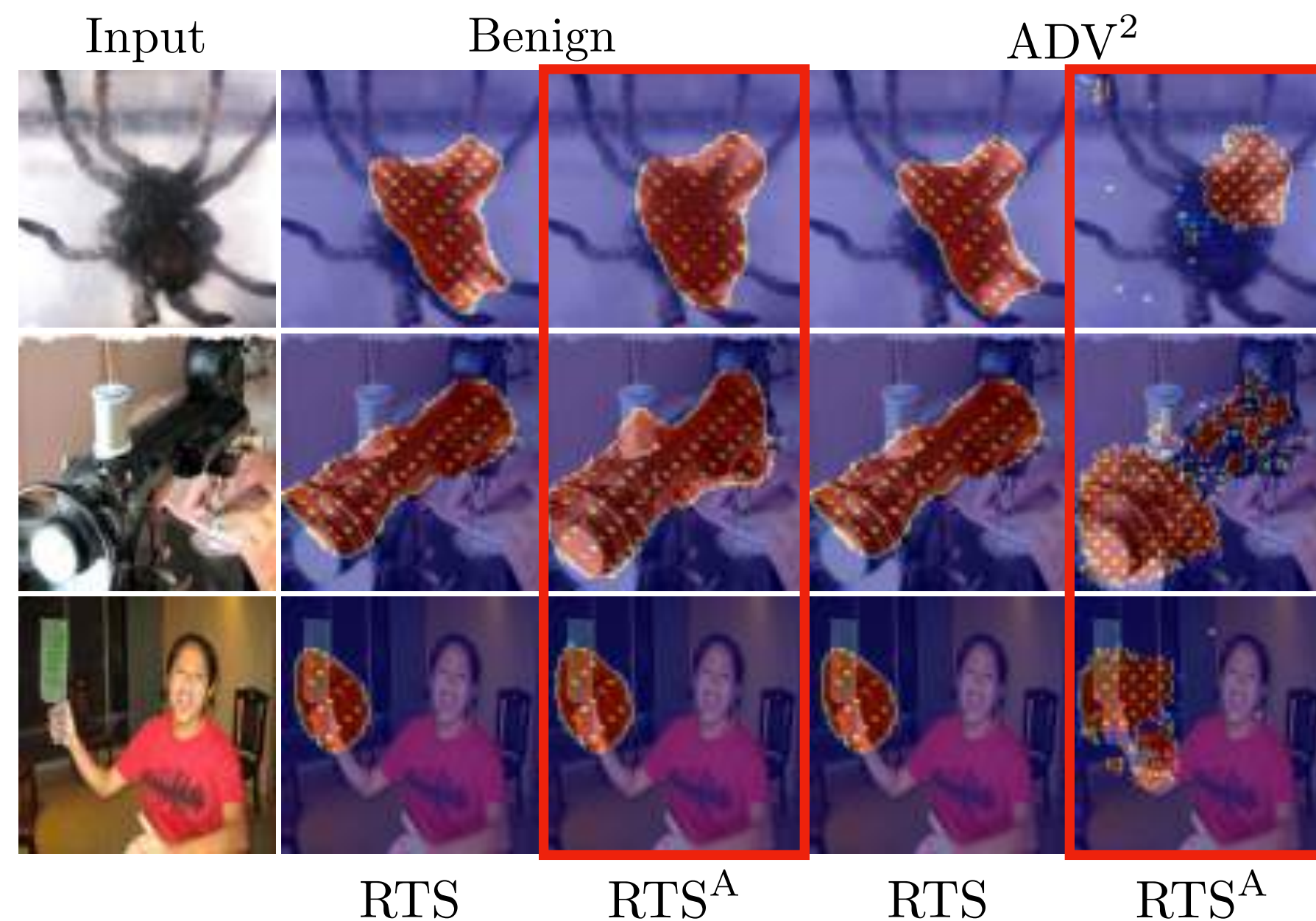
# Potential Countermeasures

## Ensemble interpretation

- Multiple, complimentary interpreters to fully cover DNN behaviors

## Adversarial interpretation

- Minimizing prediction-interpretation gap using adversarial examples



	RTS	$RTS^A$
Benign	—	0.03
$ADV^2$	0.01	0.10

$\mathcal{L}_1$  measures

# Key Findings

## Finding 1

- The interpretability of existing interpretable deep learning systems merely provides limited security assurance.

## Finding 2

- The prediction-interpretation gap is one possible cause that the adversary is able to exploit both classifier and interpreter simultaneously.

## Finding 3

- Adversarial training aiming to minimize the prediction-interpretation gap potentially improves the robustness of interpreters.



# Thank You!



Please direct your questions to  
[zxydi1992@hotmail.com](mailto:zxydi1992@hotmail.com)