

# *Oort*

*Efficient Federated Learning via Guided Participant Selection*

Fan Lai, Xiangfeng Zhu,

Harsha V. Madhyastha, Mosharaf Chowdhury



# Emerging Trend of Machine Learning

Edge devices generate massive **data**

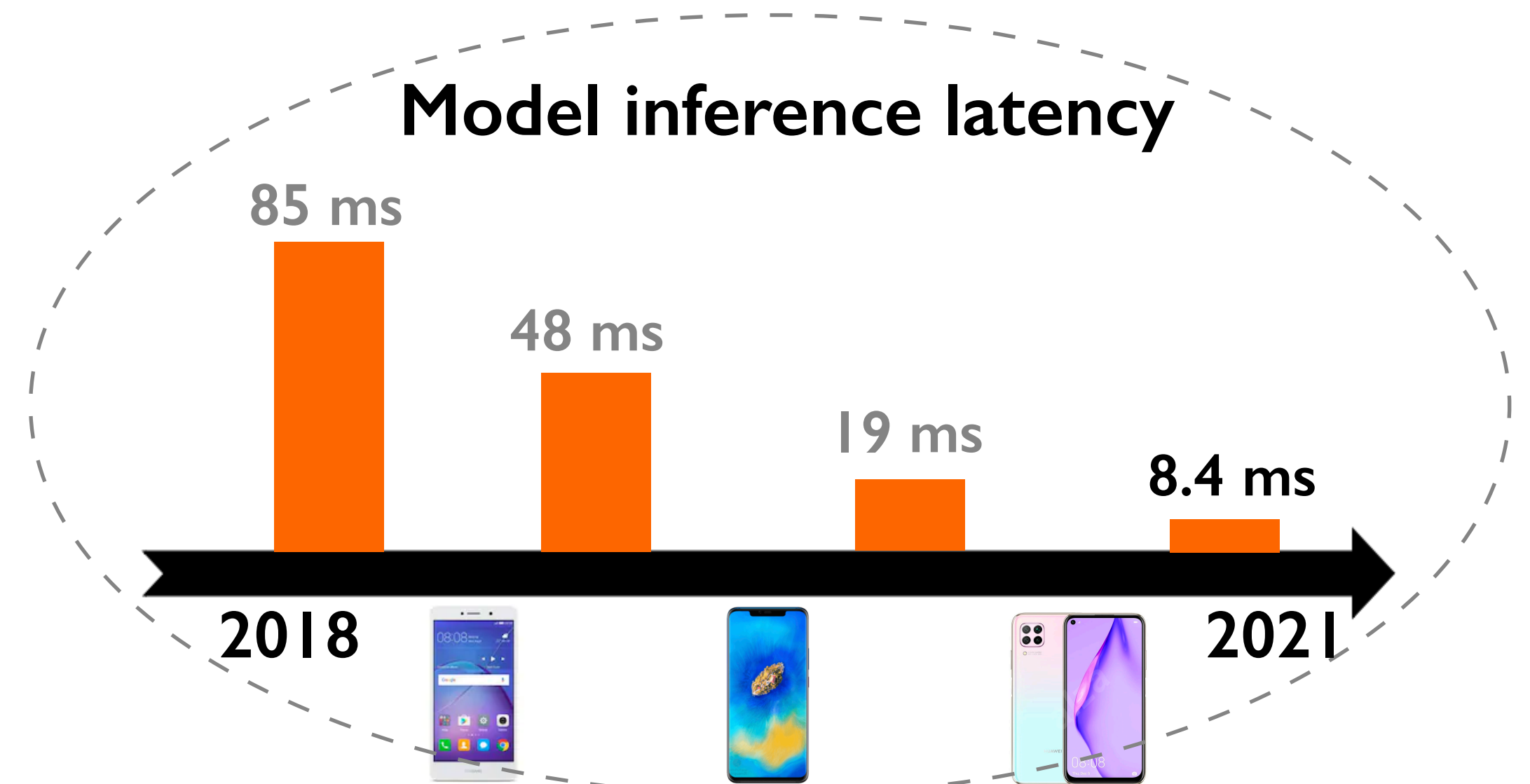


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Increasing **resource** on edge device

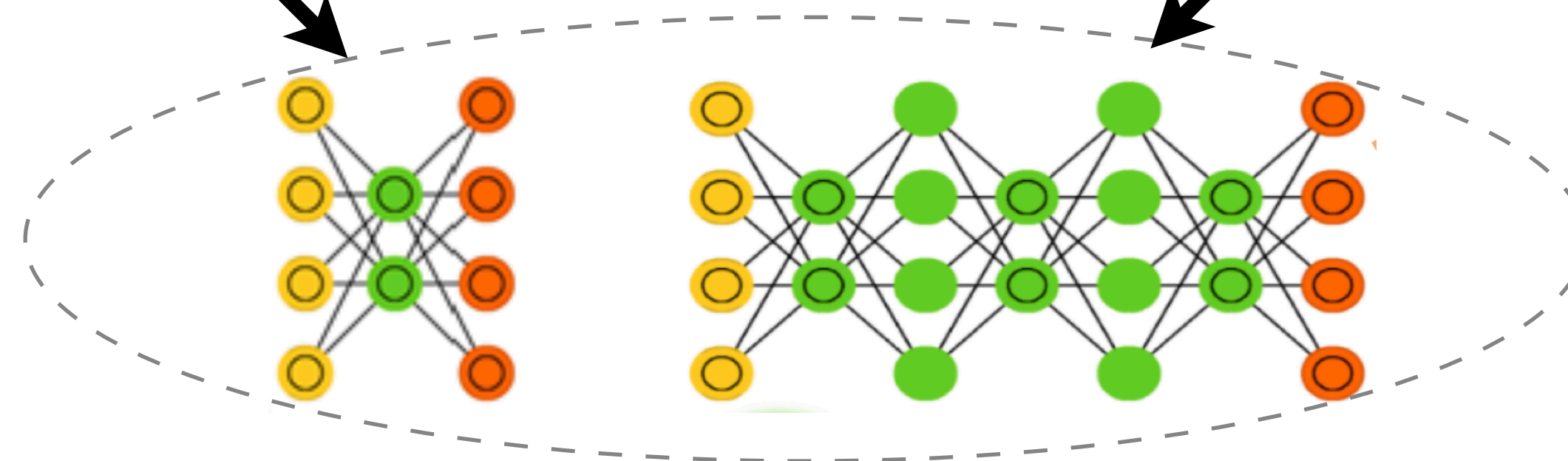
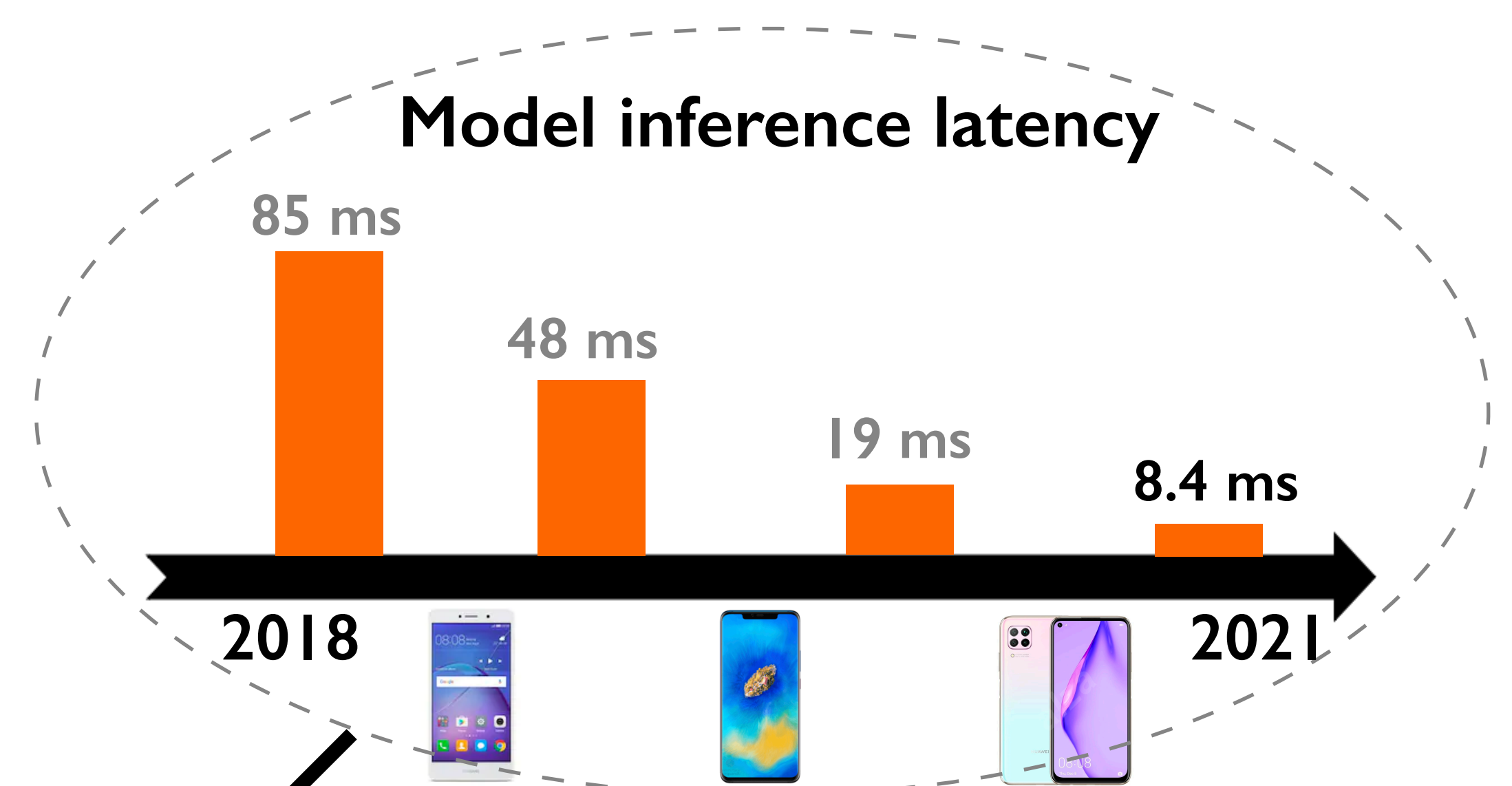


# Emerging Trend of Machine Learning

Edge devices generate massive **data**



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ML needs fresh and large real-life datasets

# Emerging Federated Learning on the Edge

- **On-device machine learning helps**
  - Reduce data migration/privacy risk
  - Learn on fresh real-world data
  - ...

*Mistify*: Automating DNN Model Porting for On-Device Inference at the Edge

**TOWARDS FEDERATED LEARNING AT SCALE: SYSTEM DESIGN**

**MobileNets: Efficient Convolutional Neural Networks for Mobile Vision Applications**

**APPLIED FEDERATED LEARNING:  
IMPROVING GOOGLE KEYBOARD QUERY SUGGESTIONS**

**Many others ...**

# Emerging Federated Learning on the Edge

- On-device machine learning helps
  - Reduce data migration/privacy risk
  - Learn on fresh real-world data
  - ...
- Federated **training** and **testing**
  - Run model across millions of edge clients

*Mistify*: Automating DNN Model Porting for On-Device Inference at the Edge

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Syft



NVIDIA Clara



PyTorch Mobile



TensorFlow  
Federated

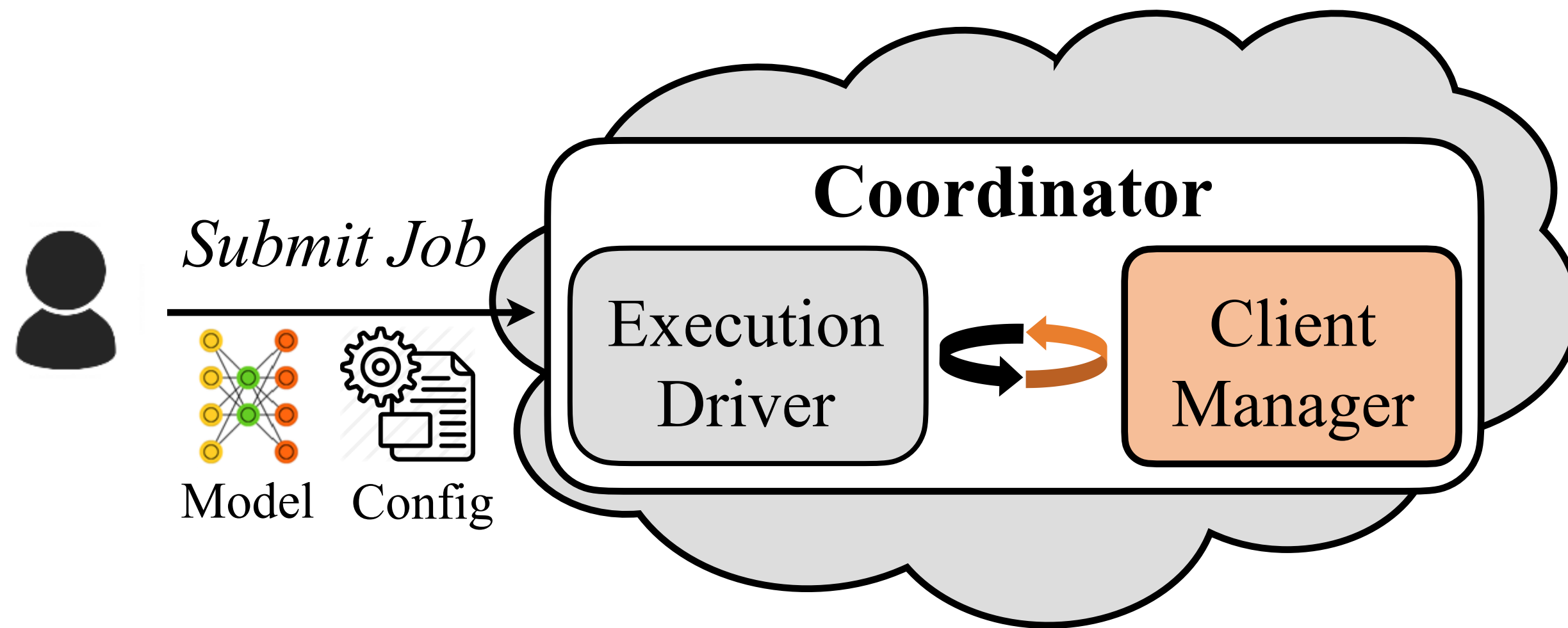


OpenMined



Apple CoreML

# Execution of Federated Learning (FL)

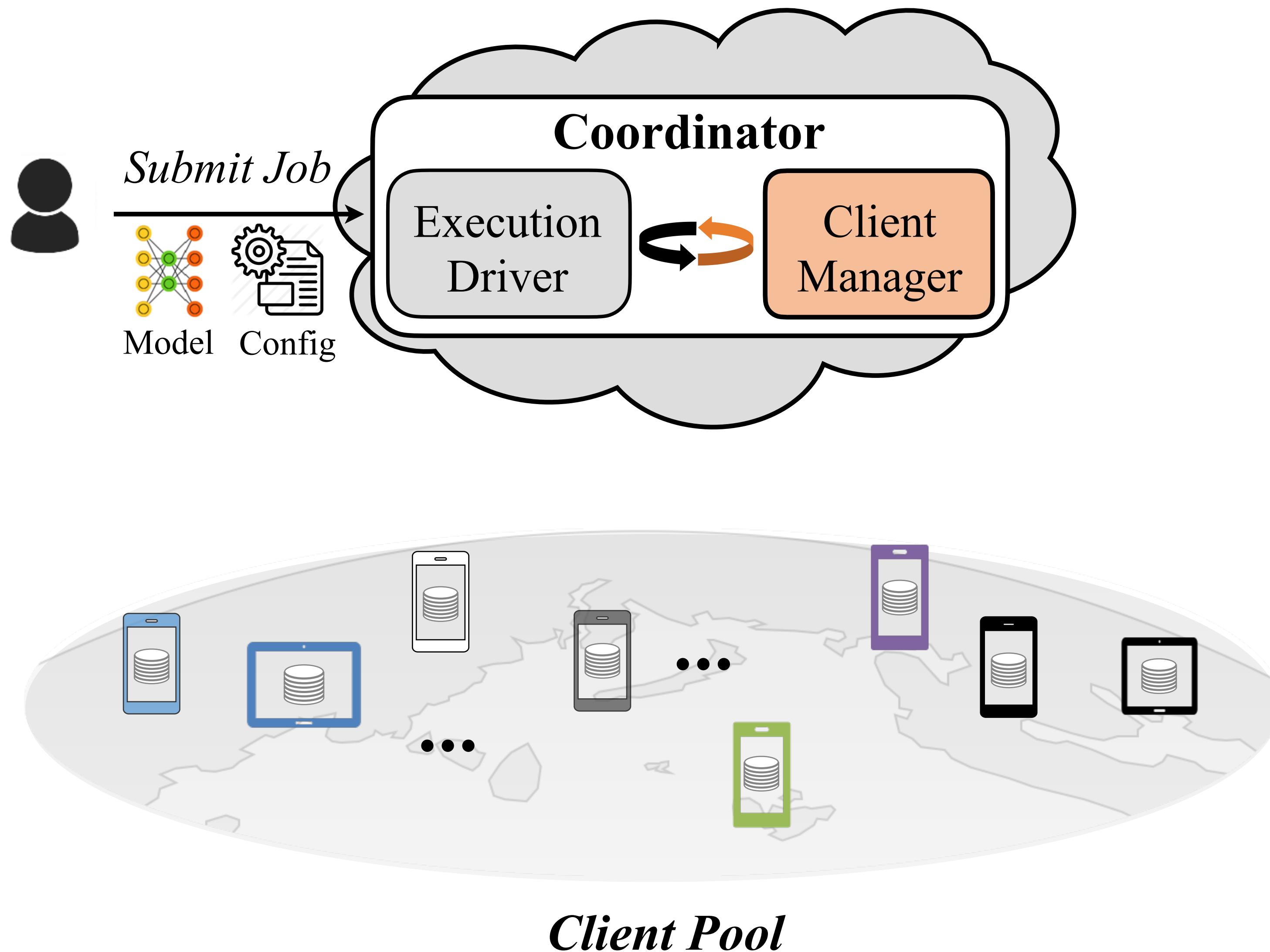


## Primary Objective

*Better **time to accuracy**:*

- Less time for target acc. under the same setting

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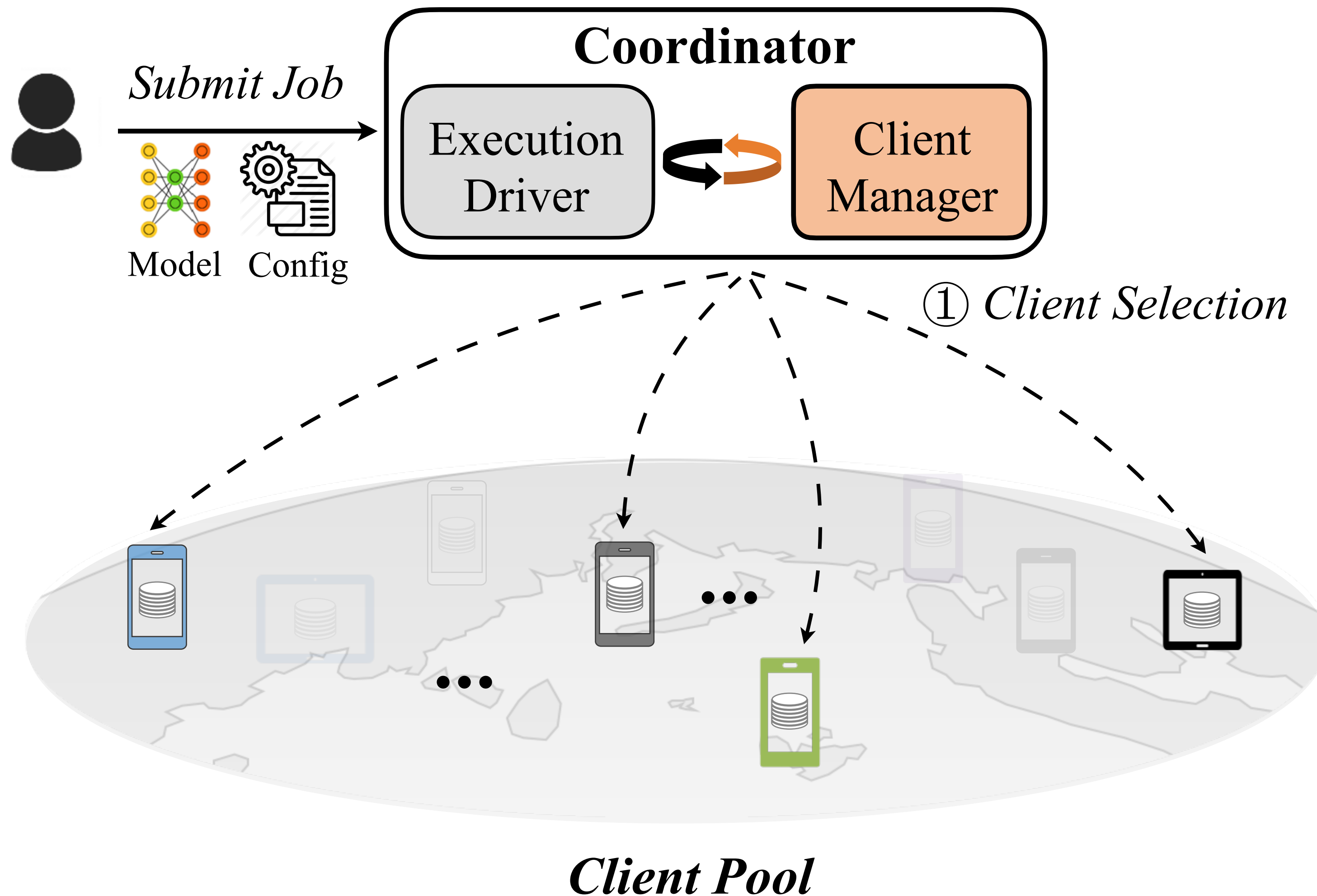


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$O(100)$  Rounds:

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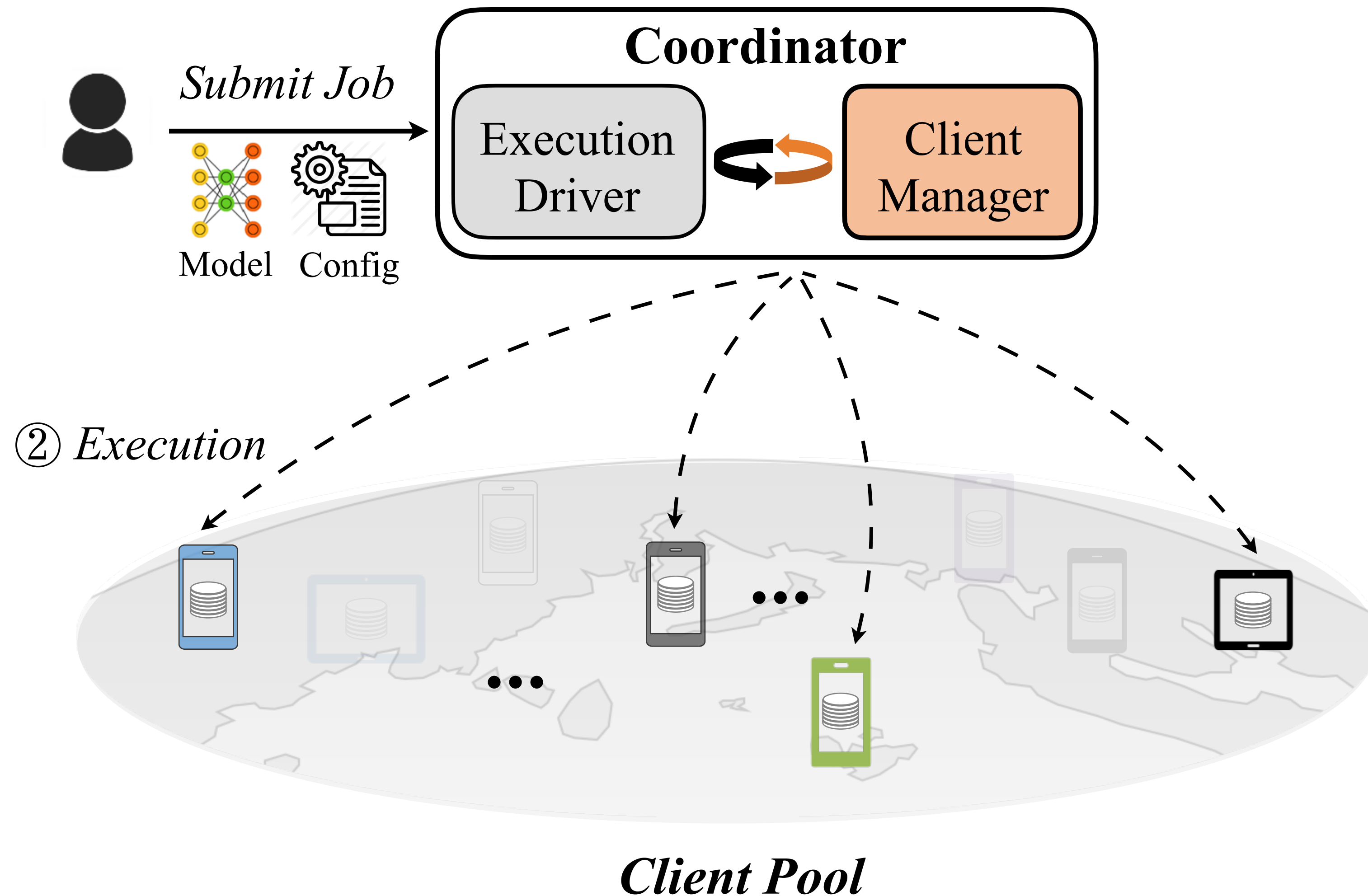
↓

*In-situ Execution*

↓

*Result aggregation*

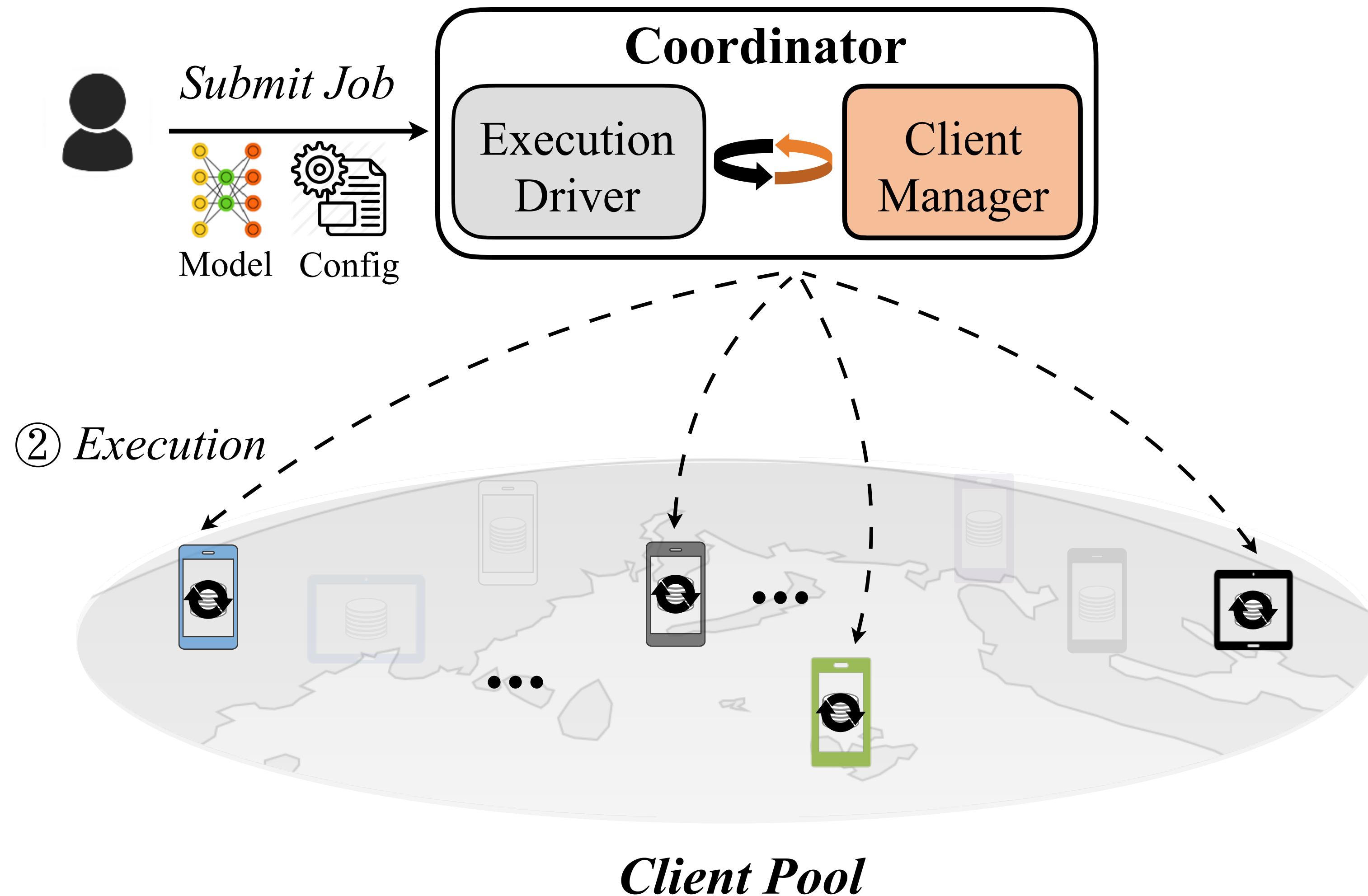
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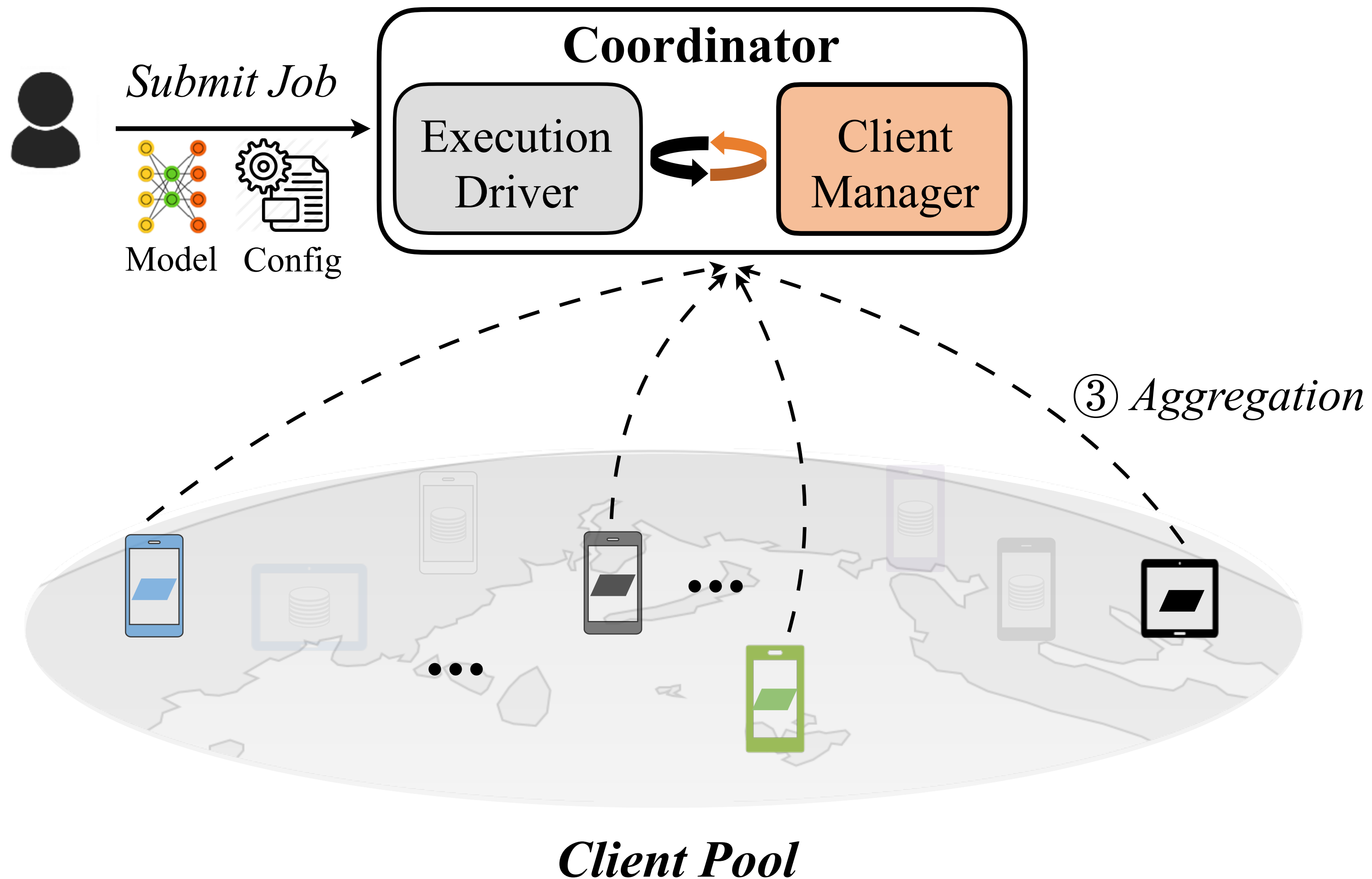
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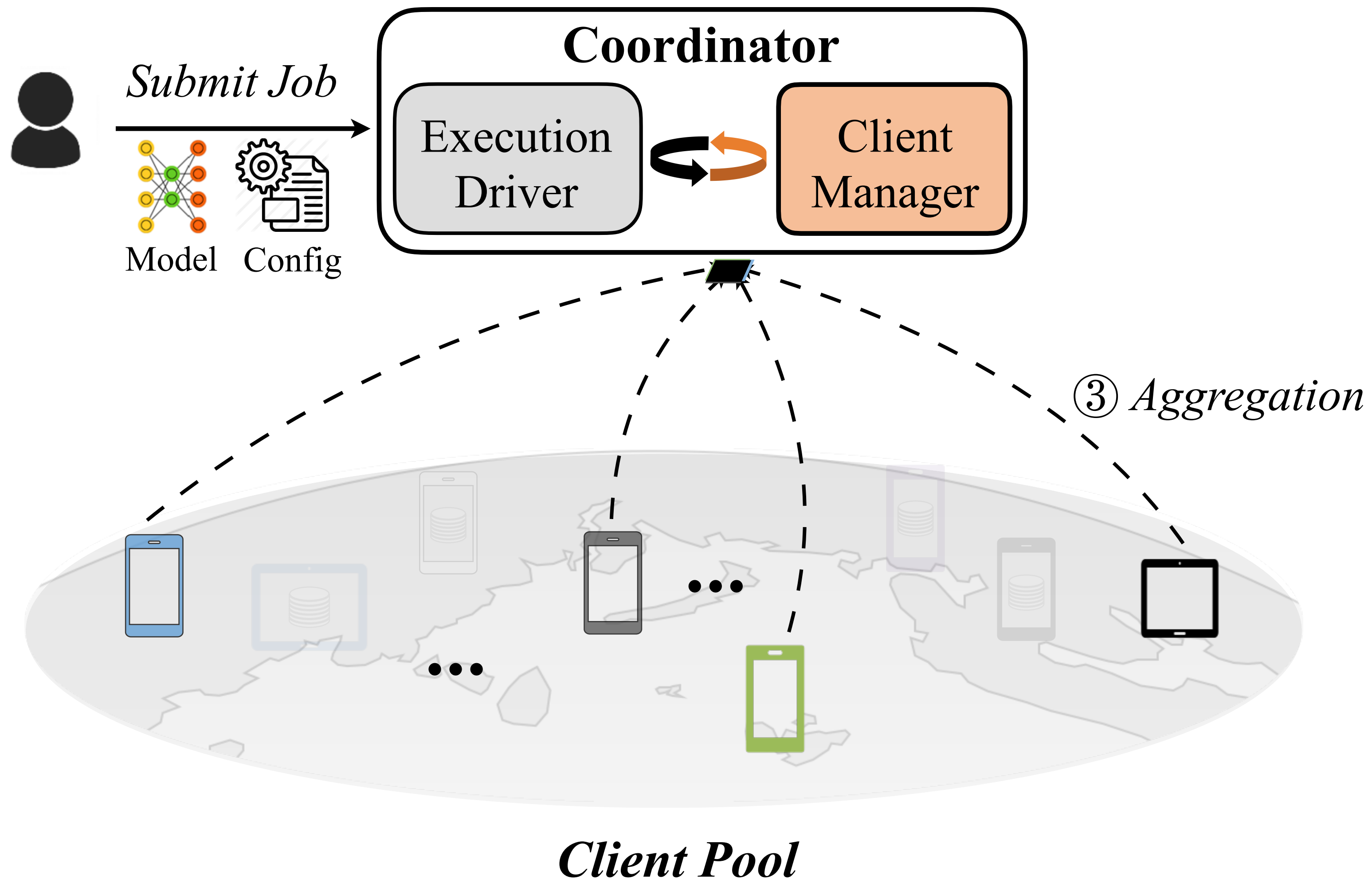


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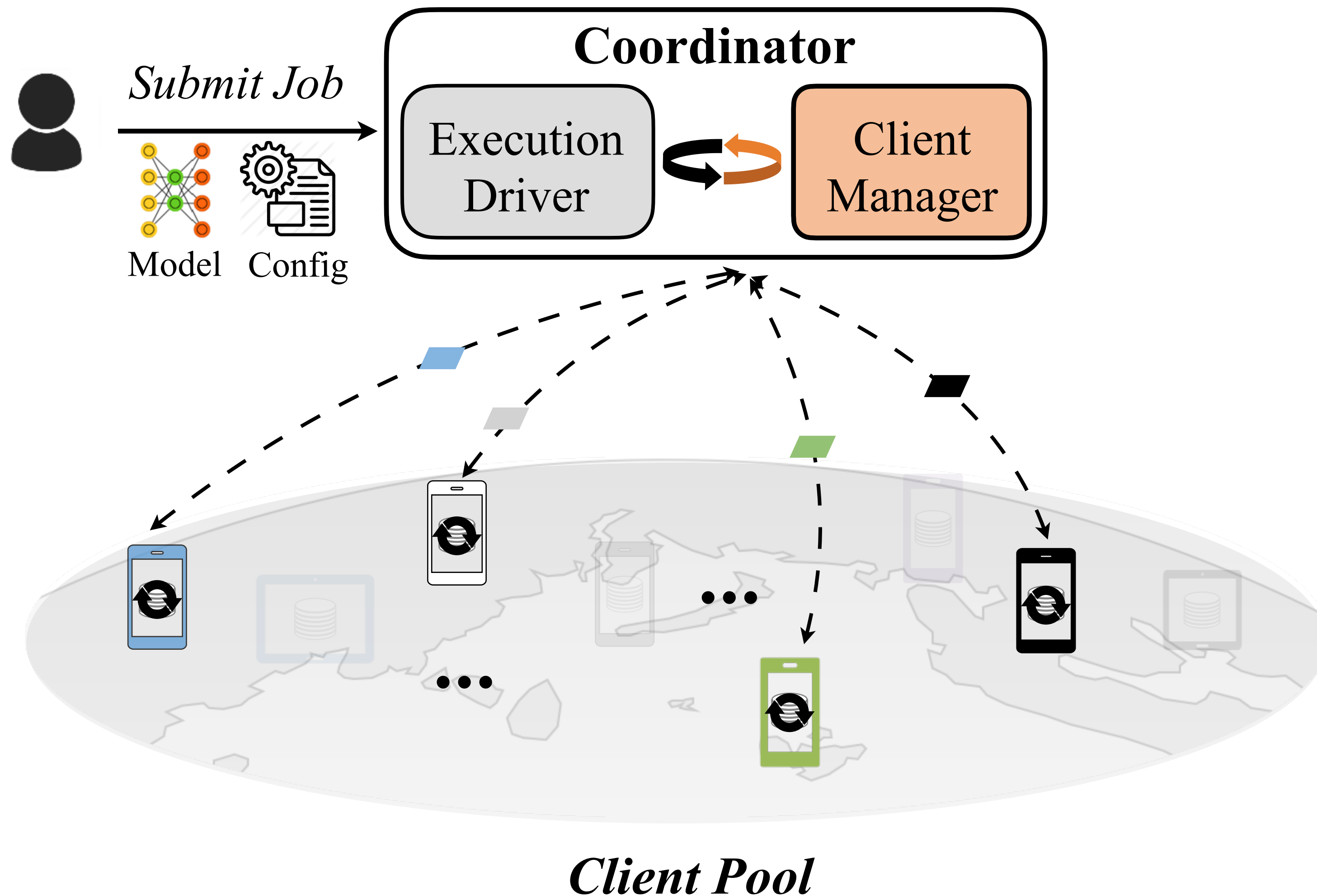


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# Challenges in Federated Learning

	FL	In-cluster ML
System	Heterogeneous	Homogeneous

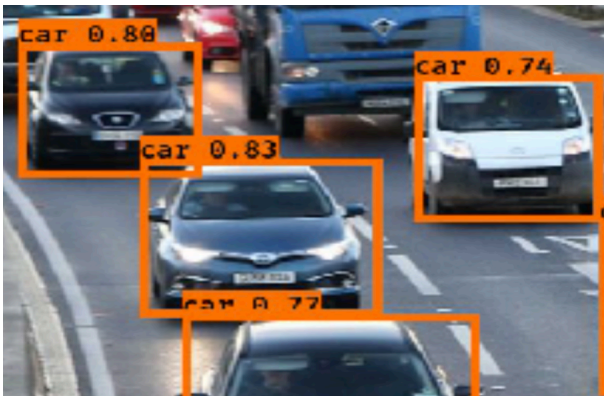


Heterogeneous system speed

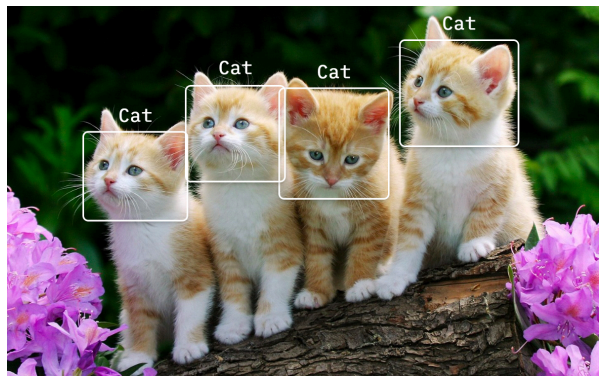
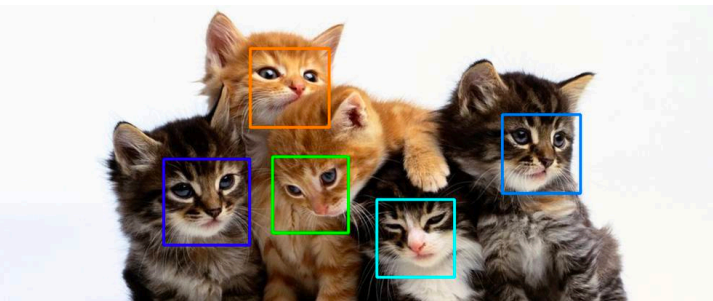
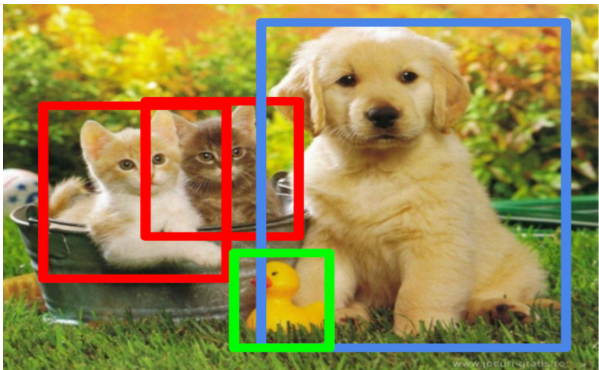
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Data	Heterogeneous	Homogeneous via shuffling
Scale	$O(IM)$	$O(I0)$
Dynamics	Client can drop out/rejoin	Few
...	...	...

**Client A**



**Client B**



Heterogeneous data distribution

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    - Reduce round duration
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    - Reduce # of rounds needed
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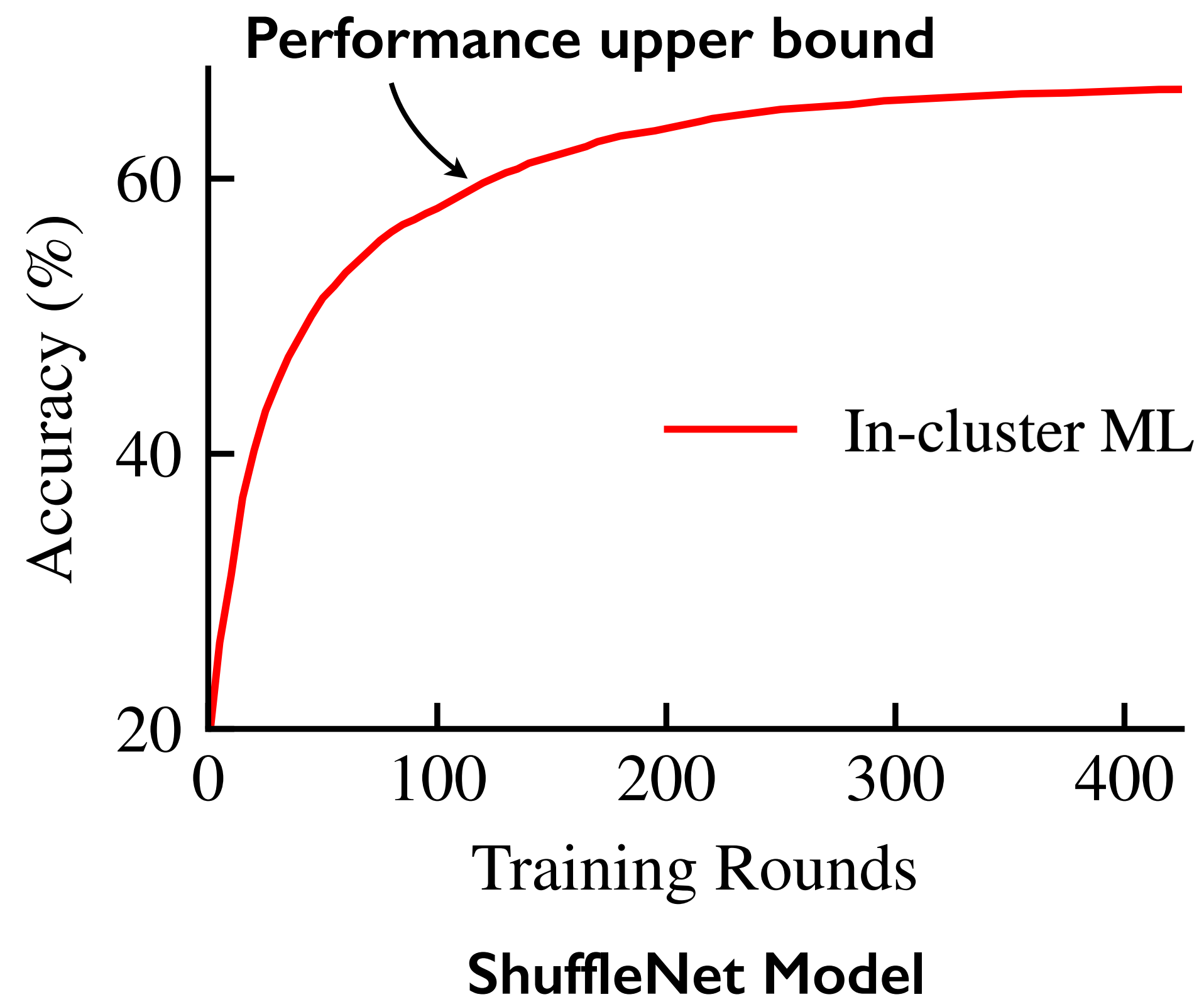
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- Existing work optimize for better
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      - Reduce round duration
- Existing federated learning relies on **random** participant selection
- Reduce # of rounds needed
  - ...

# Existing Client Selection: Suboptimal Efficiency

Image classification task on OpenImage dataset

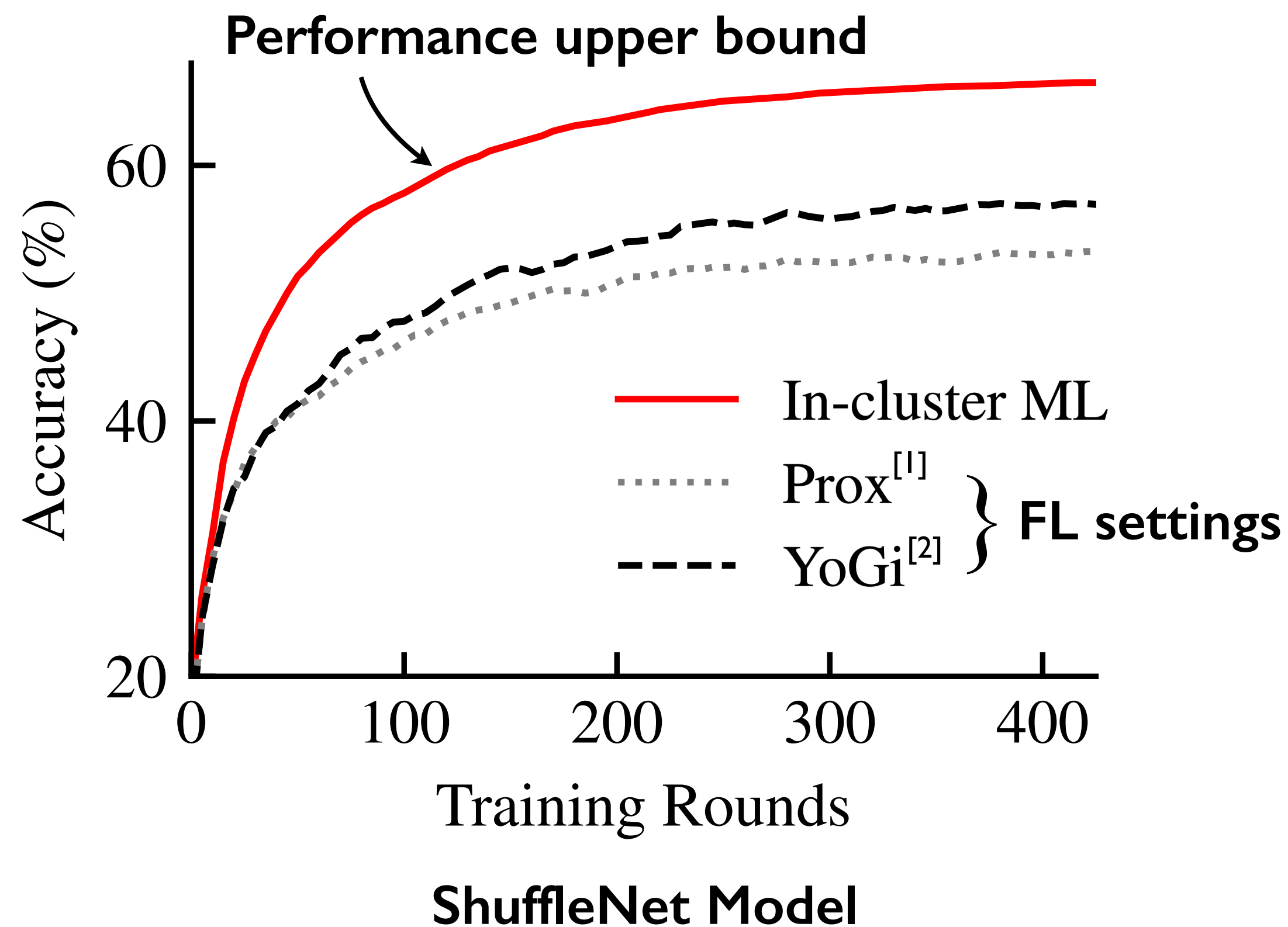


Problem #1

*Overlook heter.  
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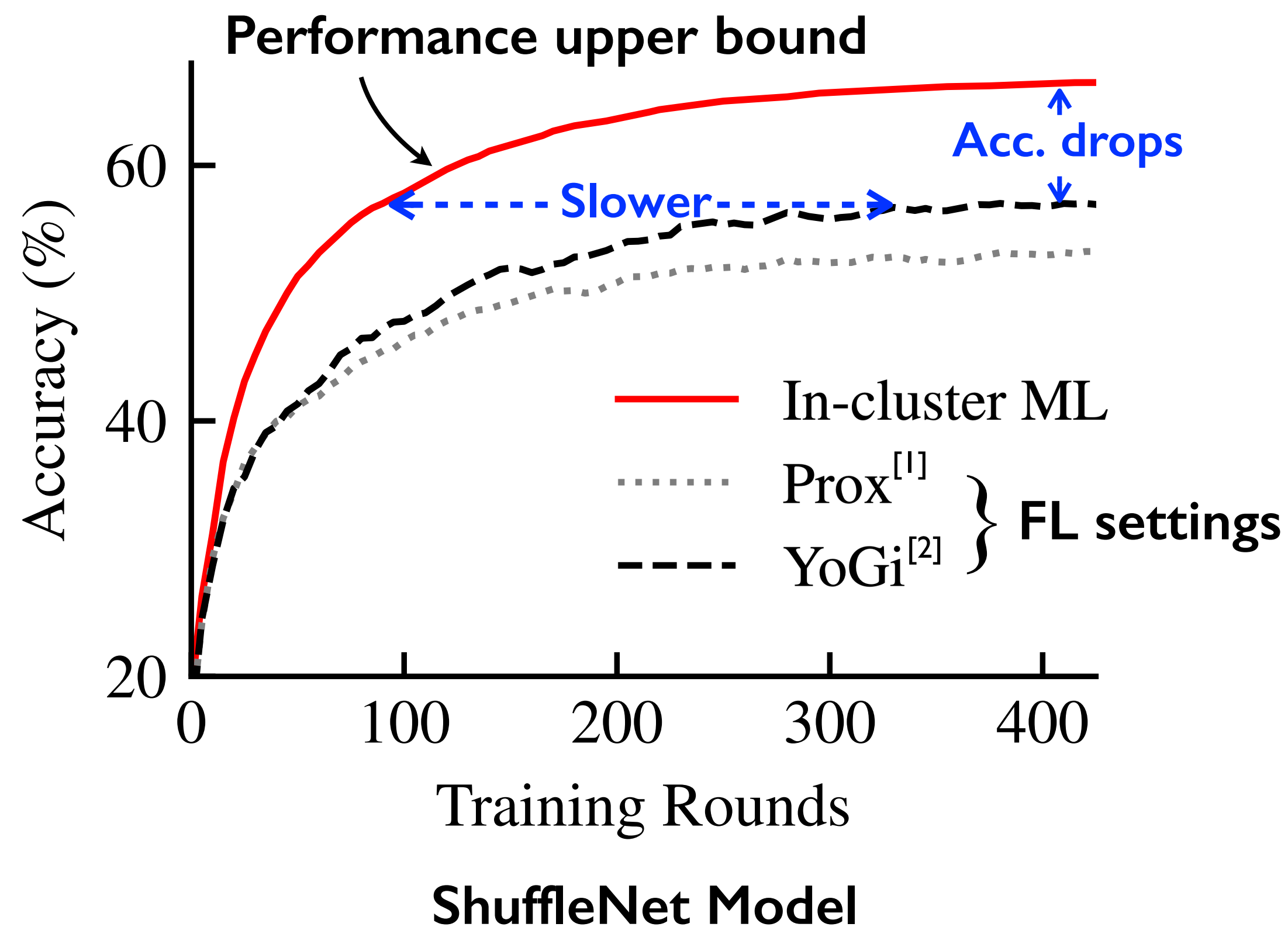


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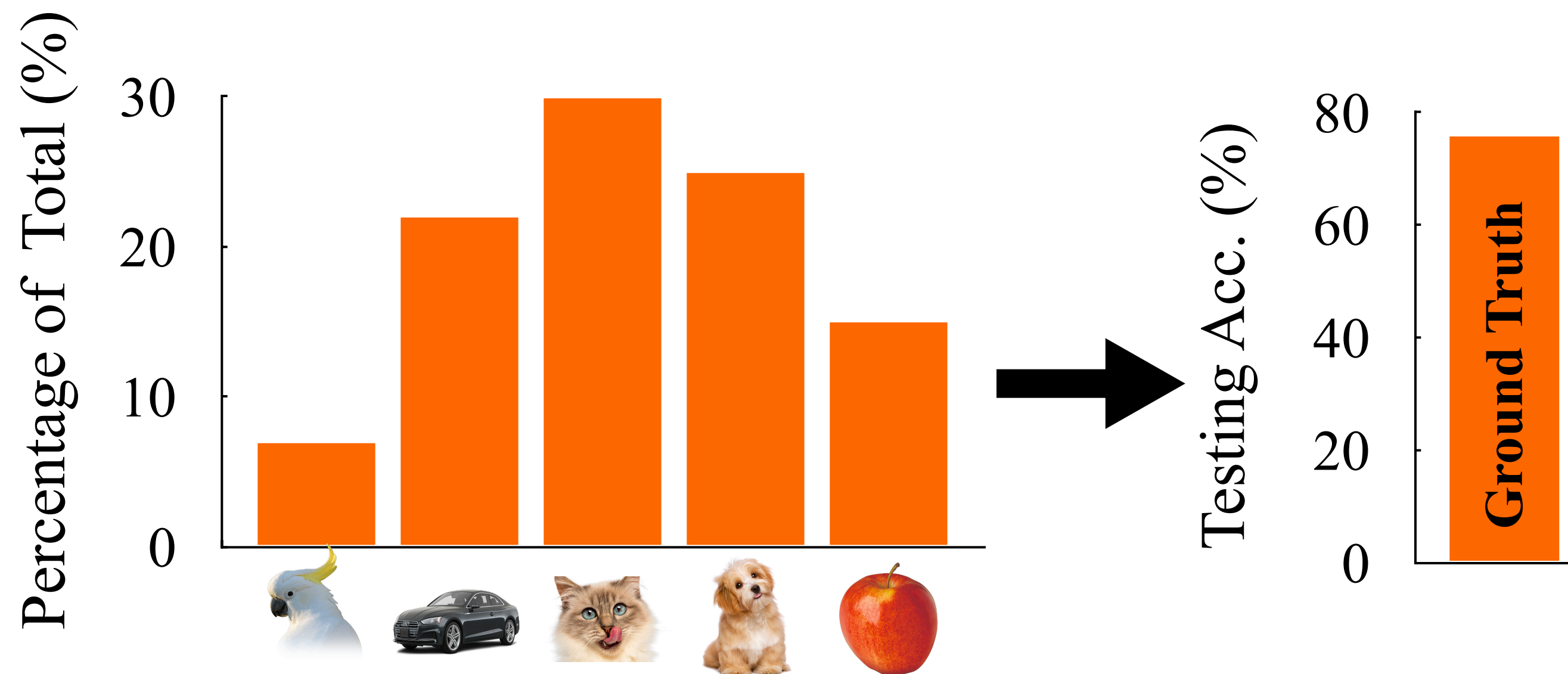
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*Suboptimal training convergence*

# Existing Client Selection: Unable for Selection Criteria

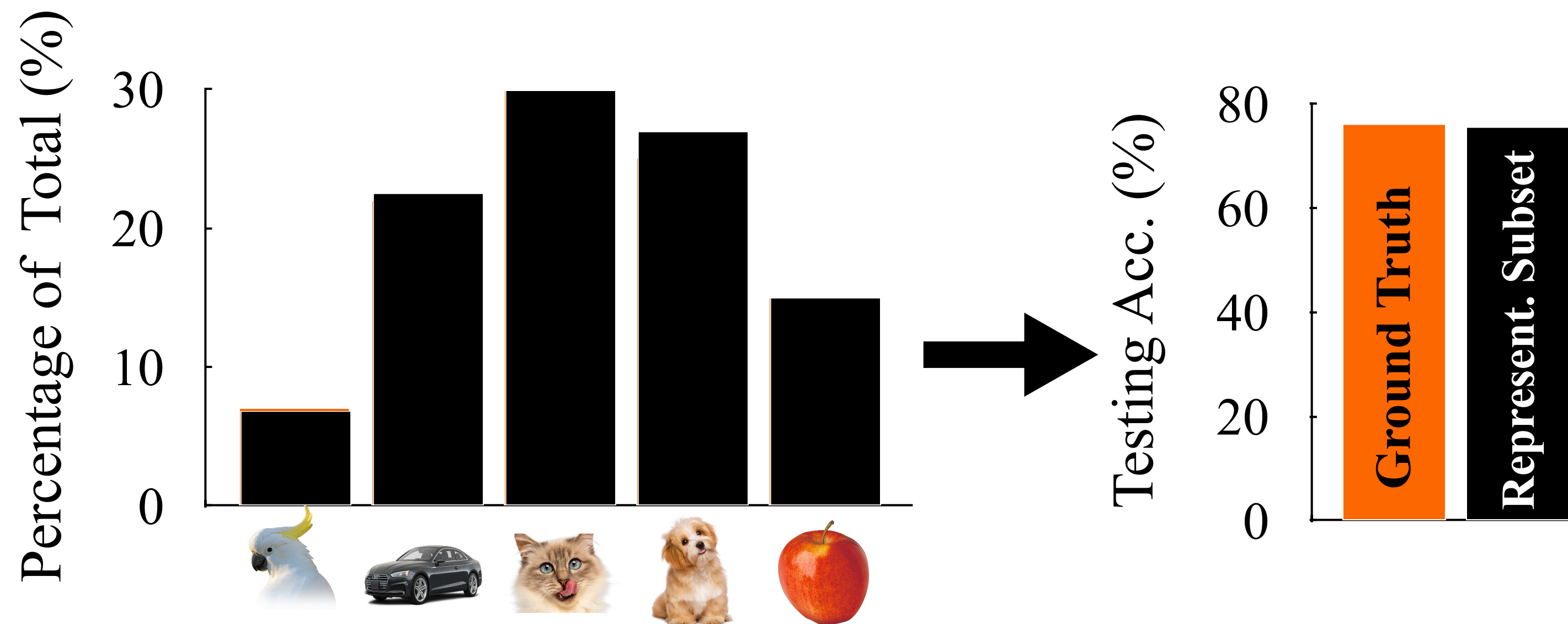
- Enforcing selection criteria is crucial in **FL testing**
  - “Give me 4k representative samples”
  - “Give me x samples of class y”
  - ...



**(Hypothetical) model testing on all clients → ground truth**

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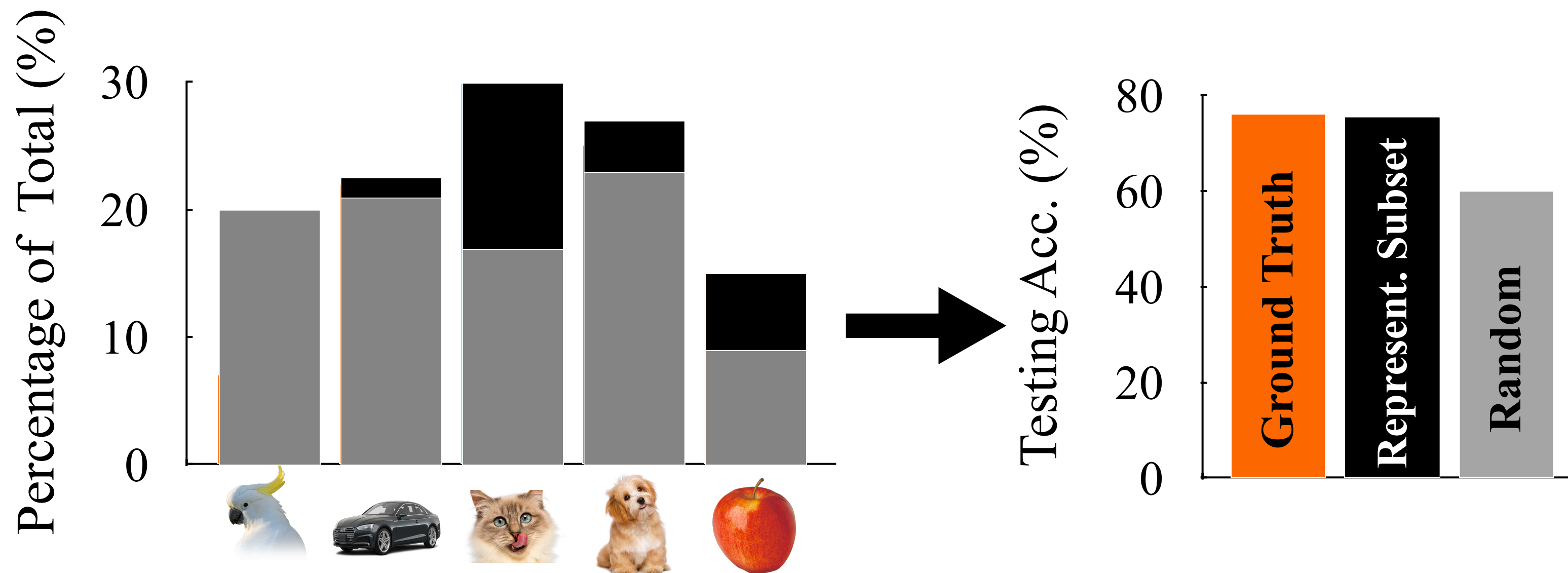
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**Representative Distribution → useful testing result**

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**Random selection → arbitrary distribution → useless result**

## Problem #2

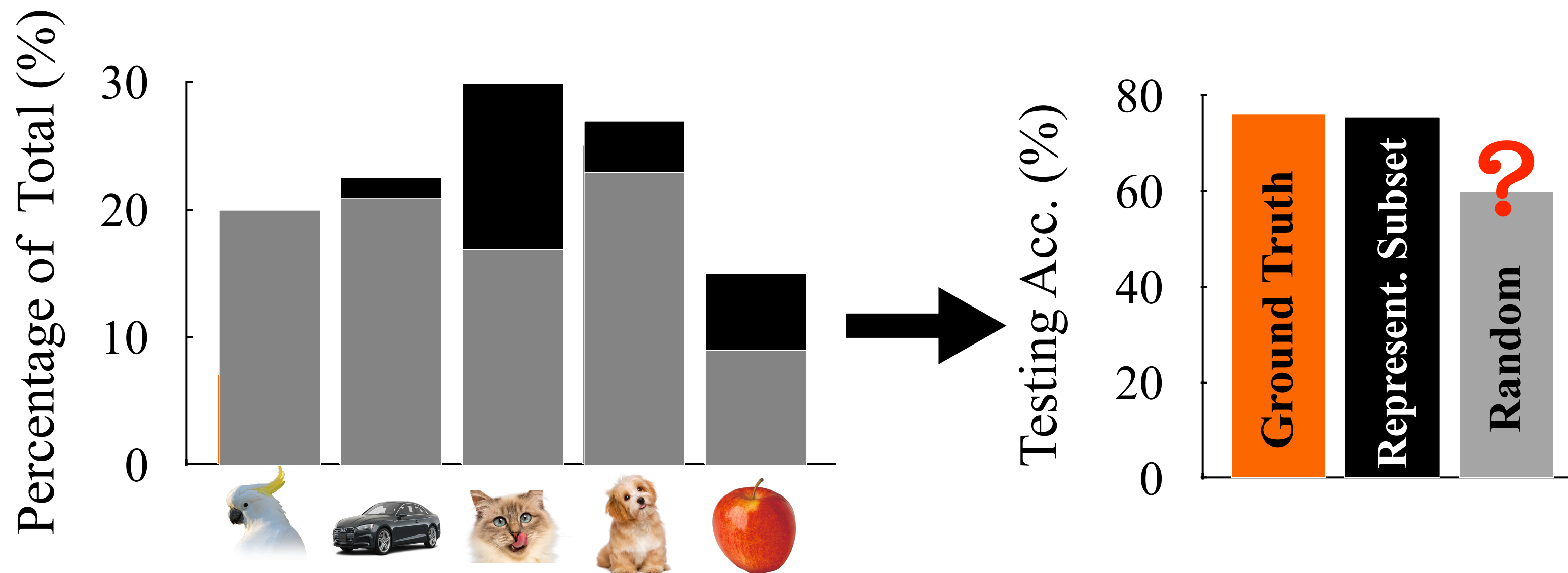
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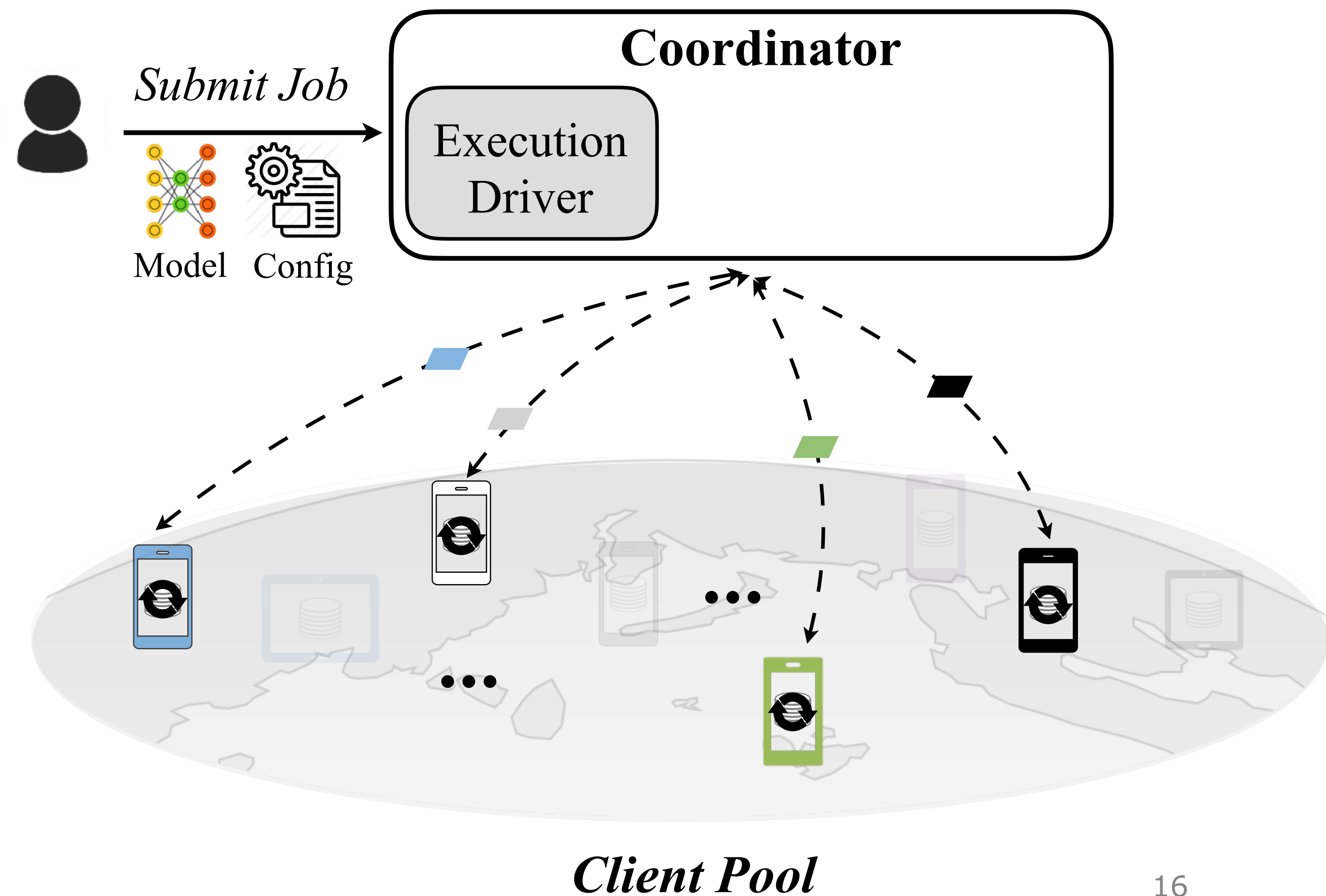
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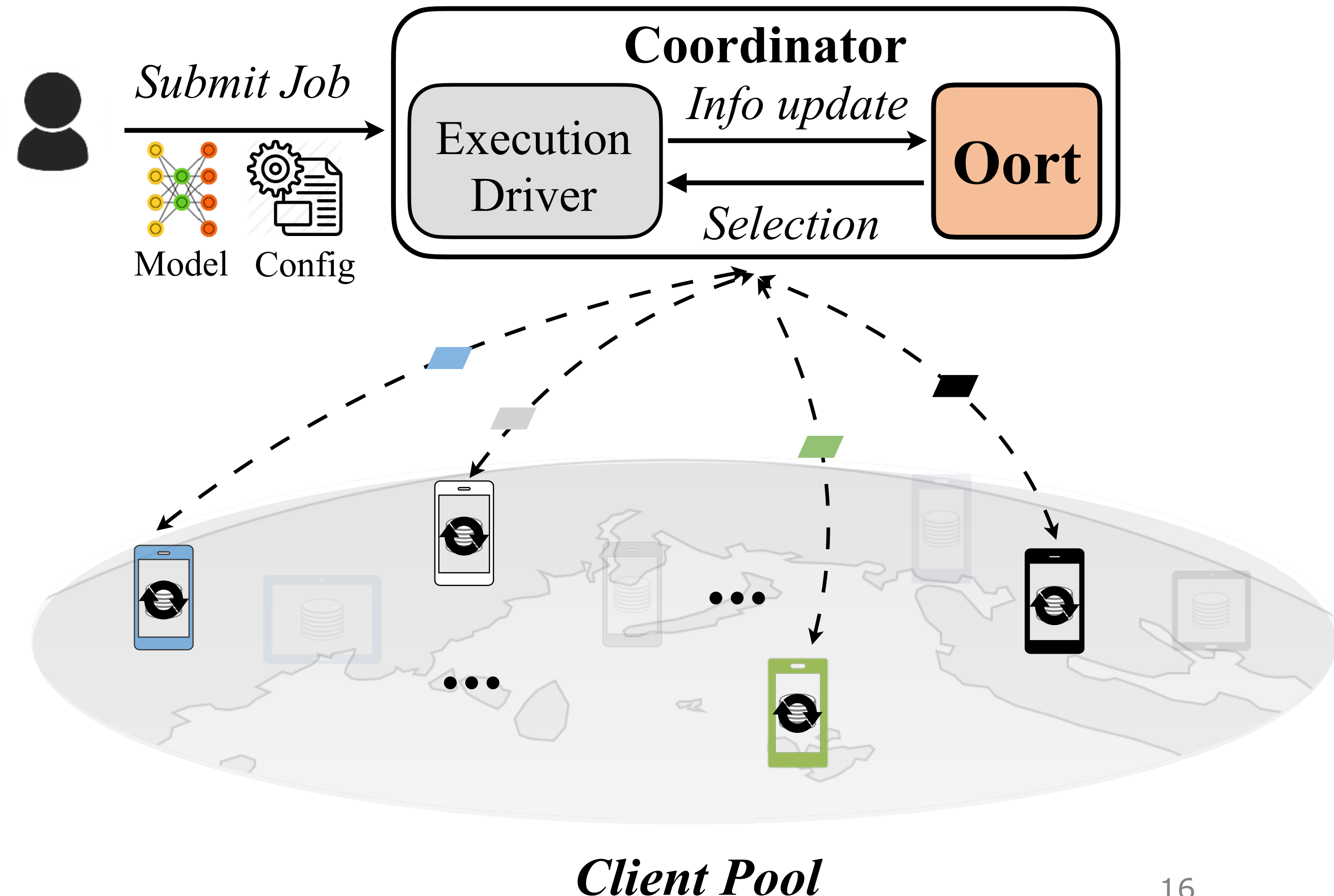
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## Design Overview

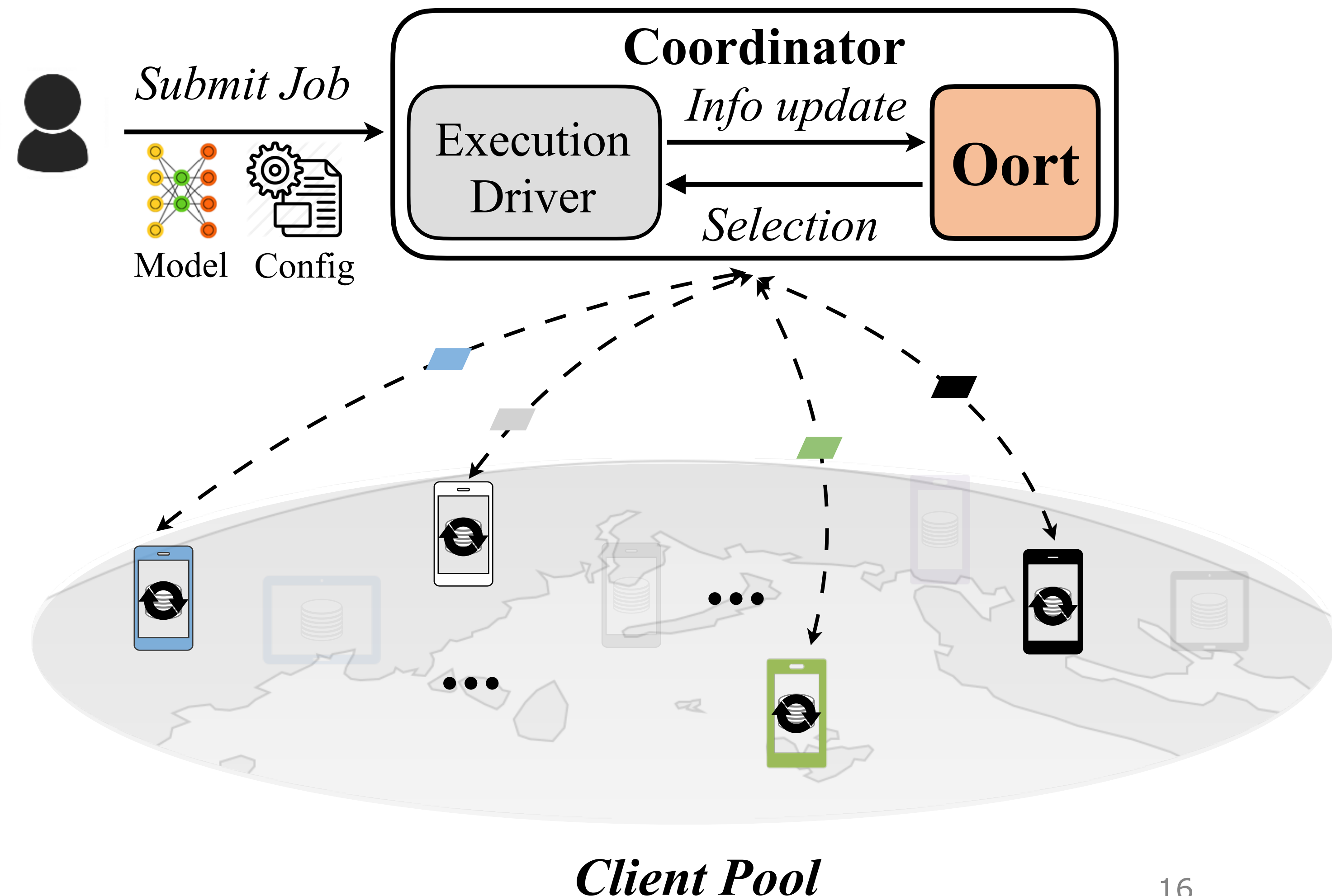
- Enable **faster FL training**
  - Adaptively explore and exploit high-utility clients
- Support **interpretable FL testing**
  - Enforce developer-specified data selection criteria at scale



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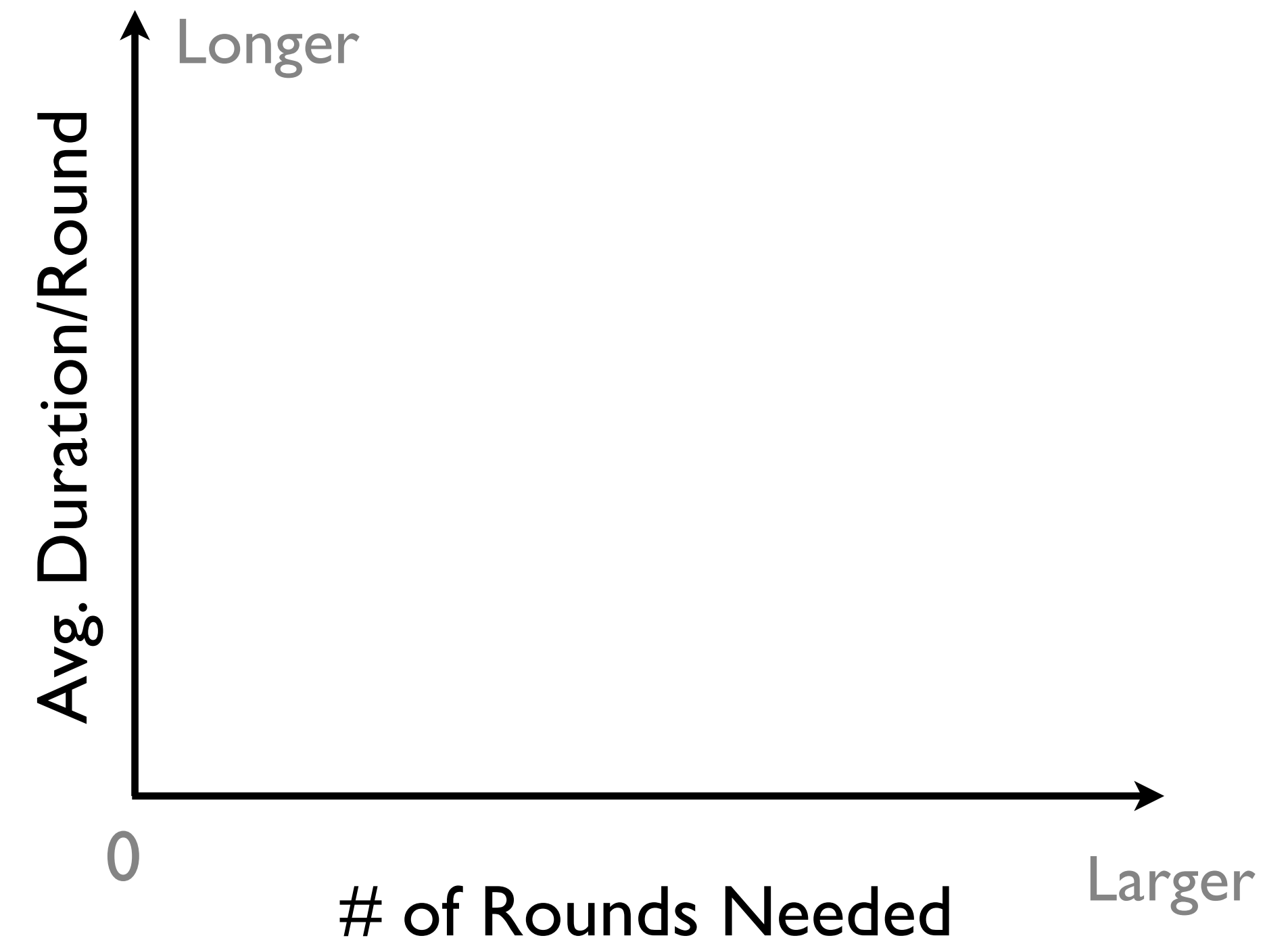
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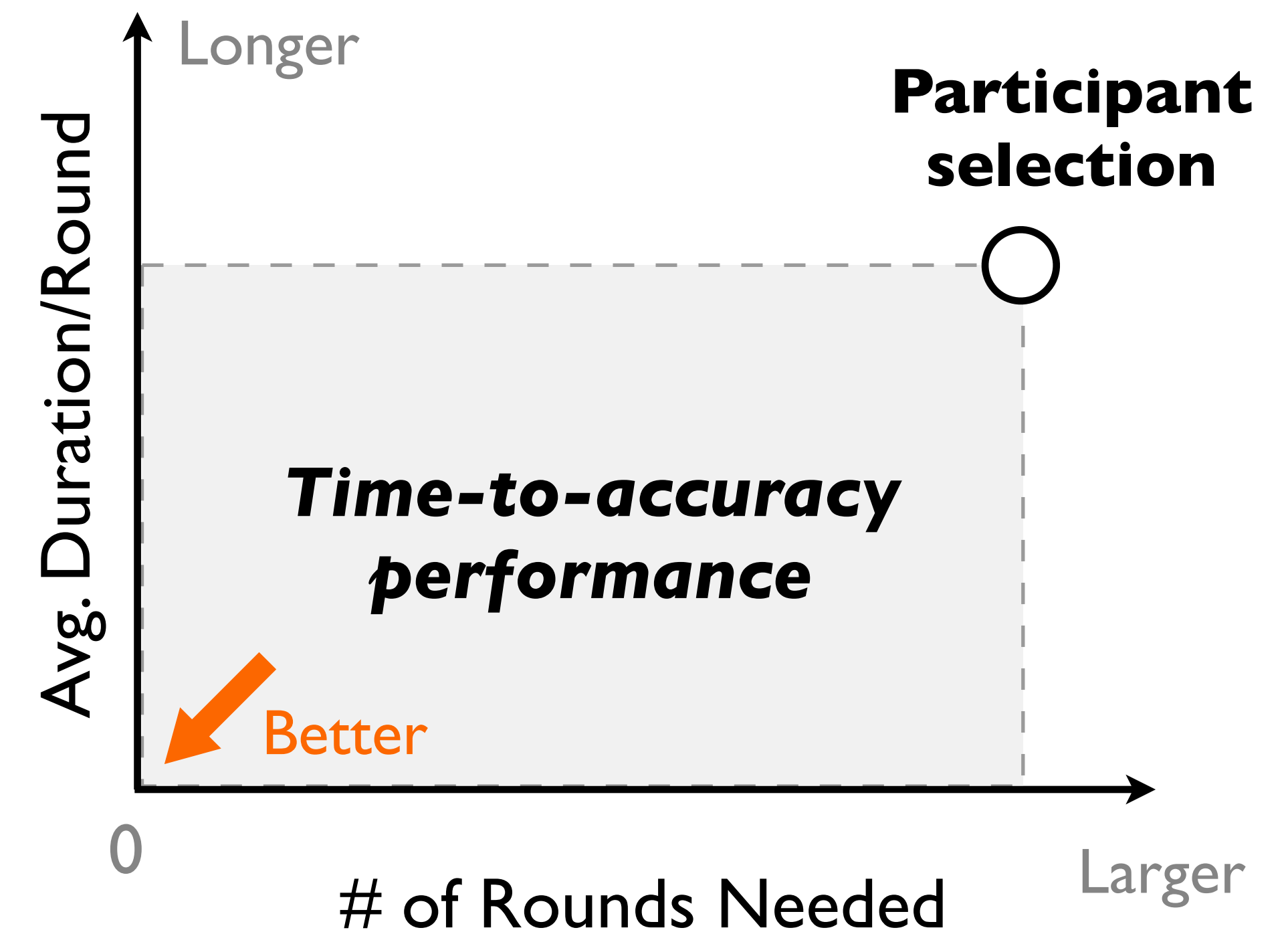
# Anatomy of Time to Accuracy in Training

- **System efficiency** (round duration)
  - Determined by client *system speed*
- **Statistical efficiency** (round to accuracy)
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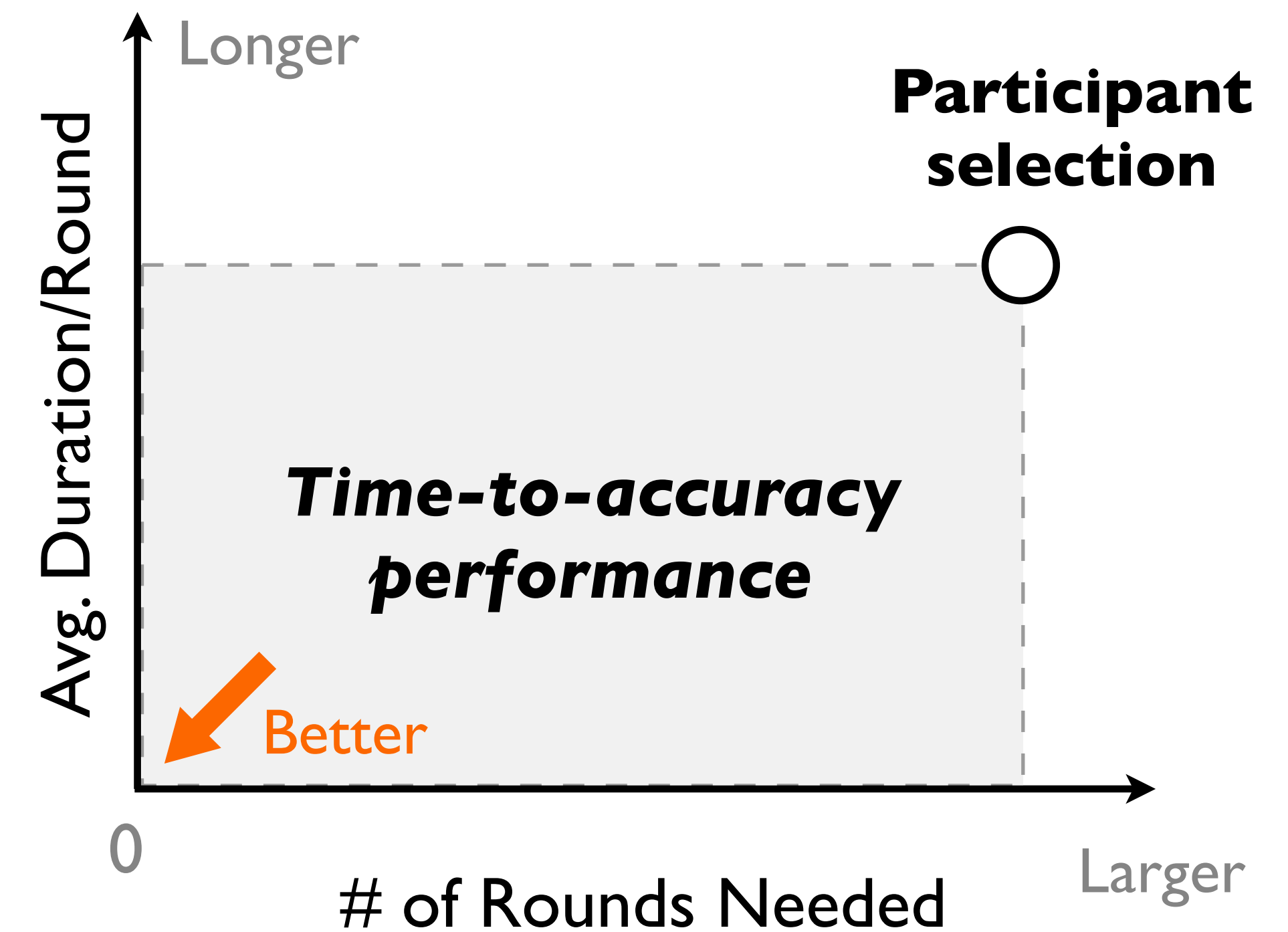
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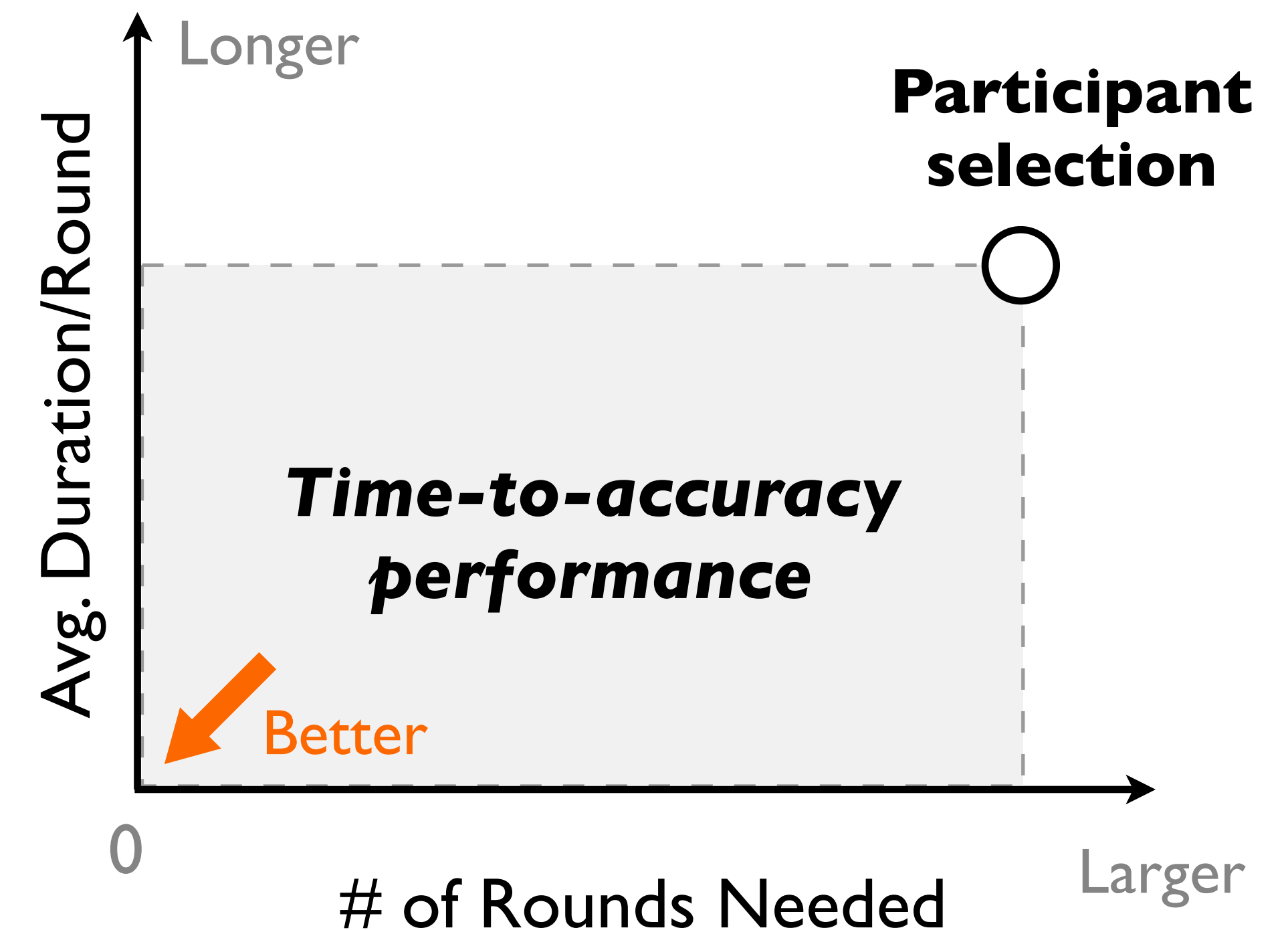
Client utility { System utility (round duration)  
Statistical utility



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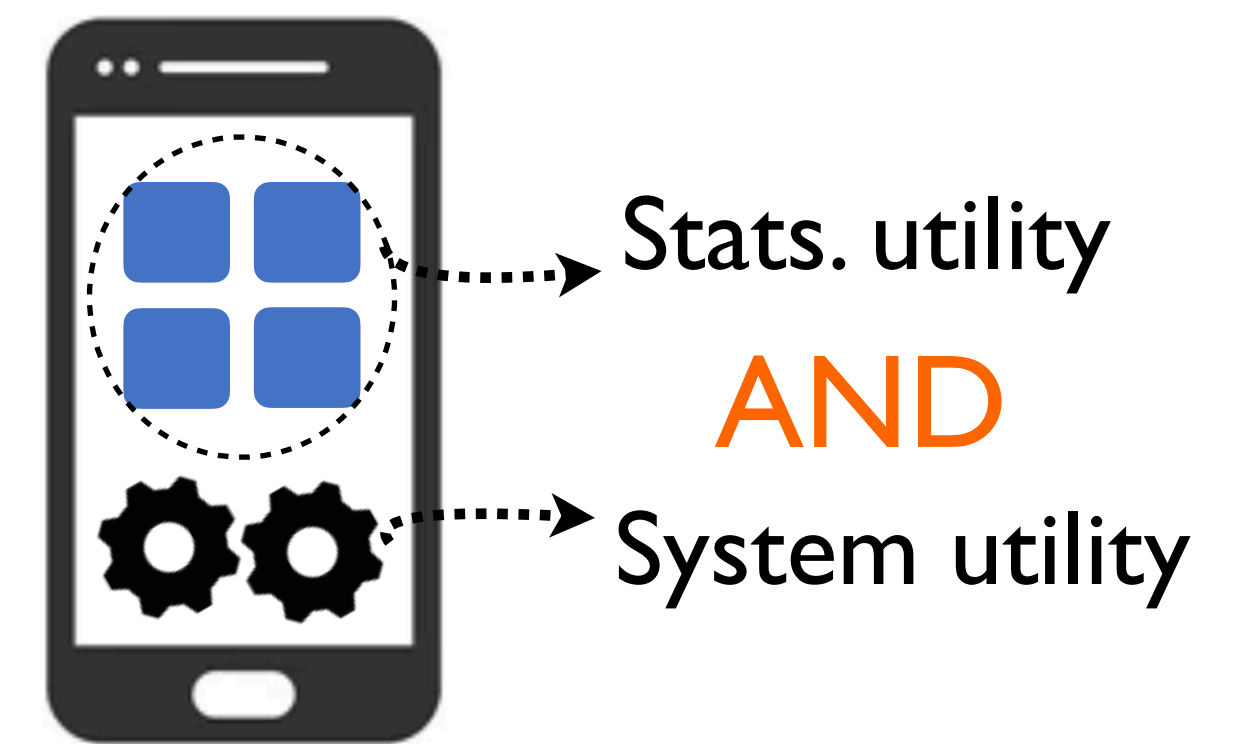
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Client utility { System utility (round duration)  
Statistical utility: *how data helps round to accuracy?*



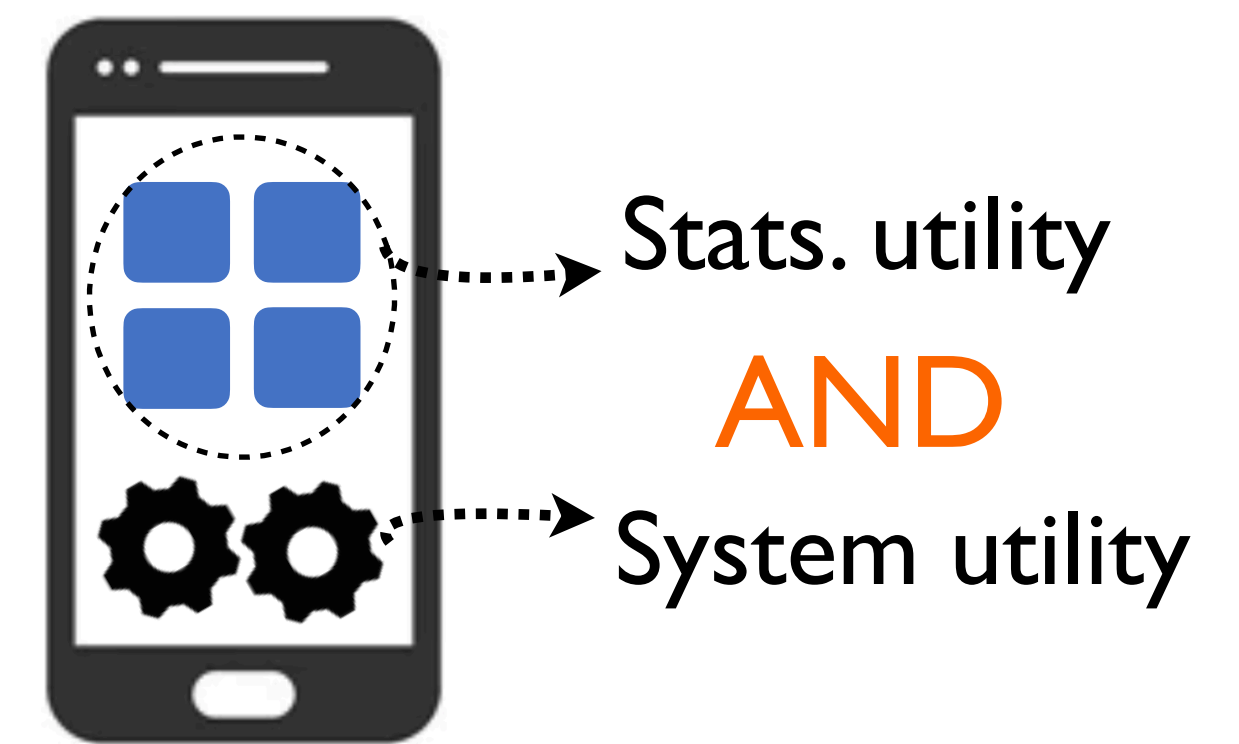
# Challenge 1: Identify **Heterogeneous** Client Utility

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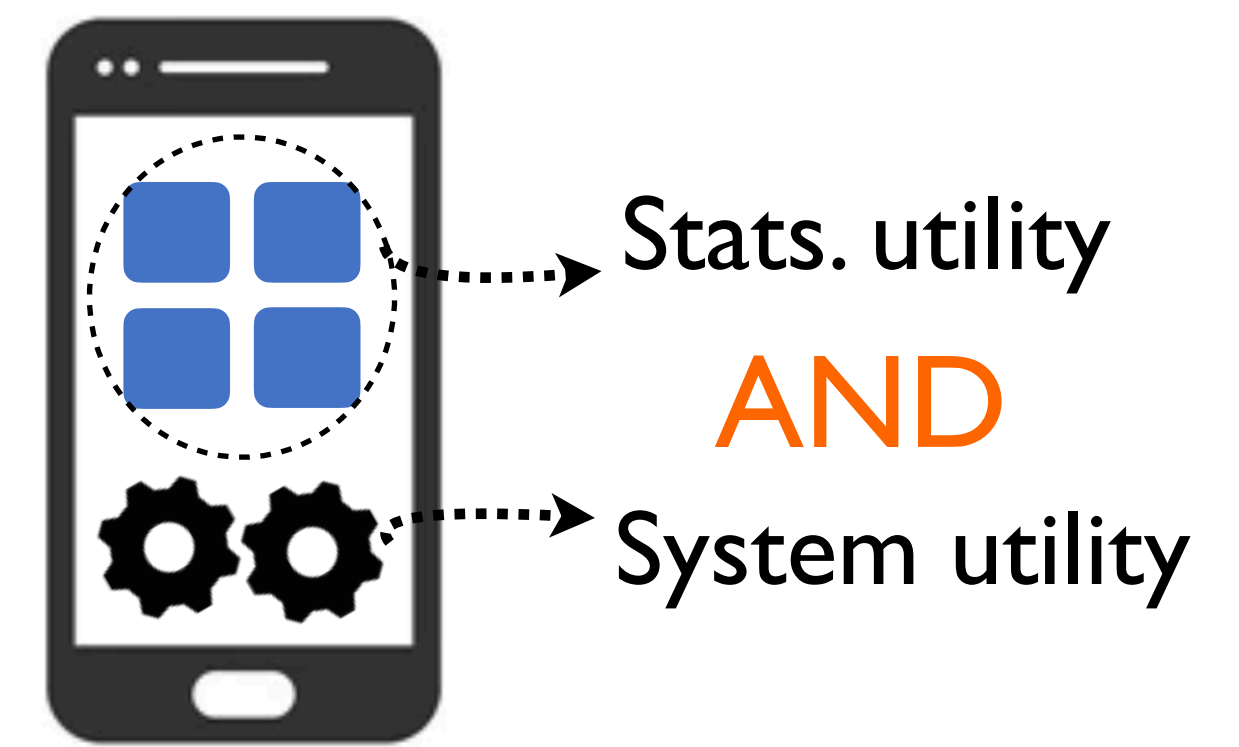
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  - **Metric: *aggregate training loss*** of client data
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- Utility of a client = 
$$\frac{stats\_util(i)}{round\_duration(i)}$$
  - i.e., ***speed*** of accumulating stats utility in ***round i***



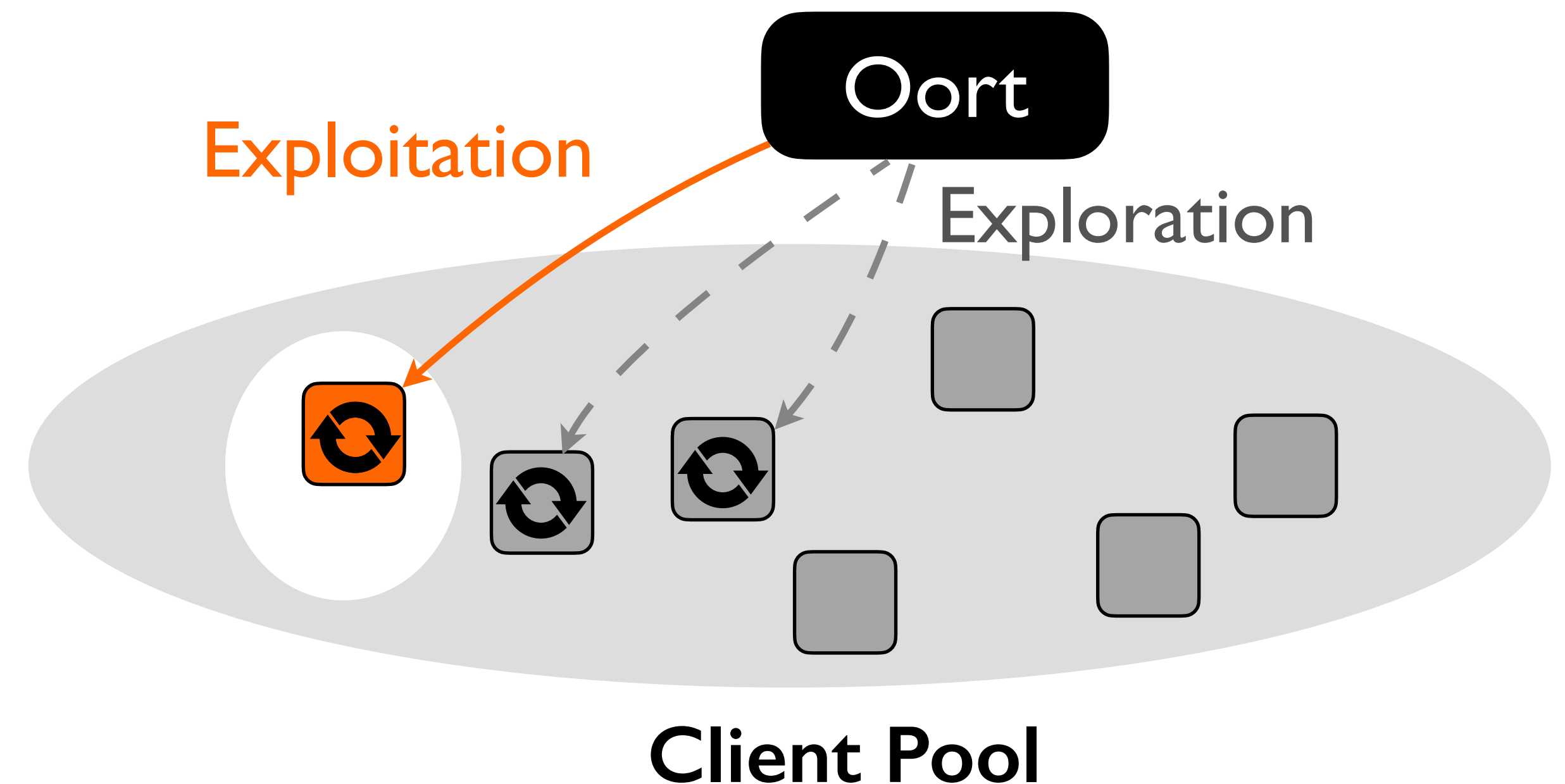
## Challenge 2: Select High-Utility Clients *at Scale*

- How to identify high-utility clients from millions of clients?
  - *Spatiotemporal* variation: heterogeneous utility across clients over rounds

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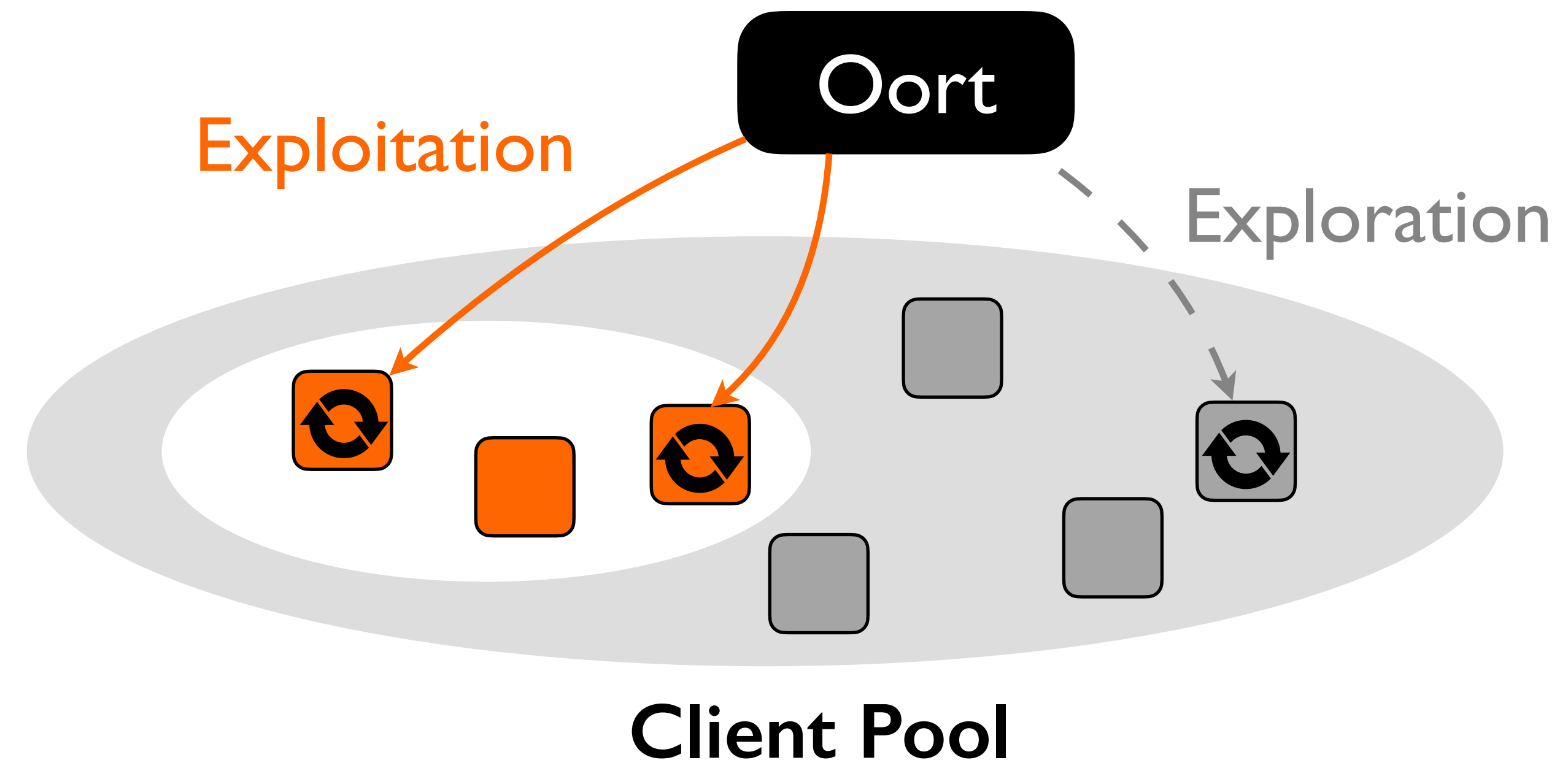
- **Exploration + Exploitation**
  - Explore not-tried clients



# Challenge 2: Select High-Utility Clients *at Scale*

- How to identify high-utility clients from millions of clients?
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- **Exploration + Exploitation**
  - Explore not-tried clients
  - Exploit known *high-utility* clients



# Challenge 3: Select High-Utility Clients **Adaptively**

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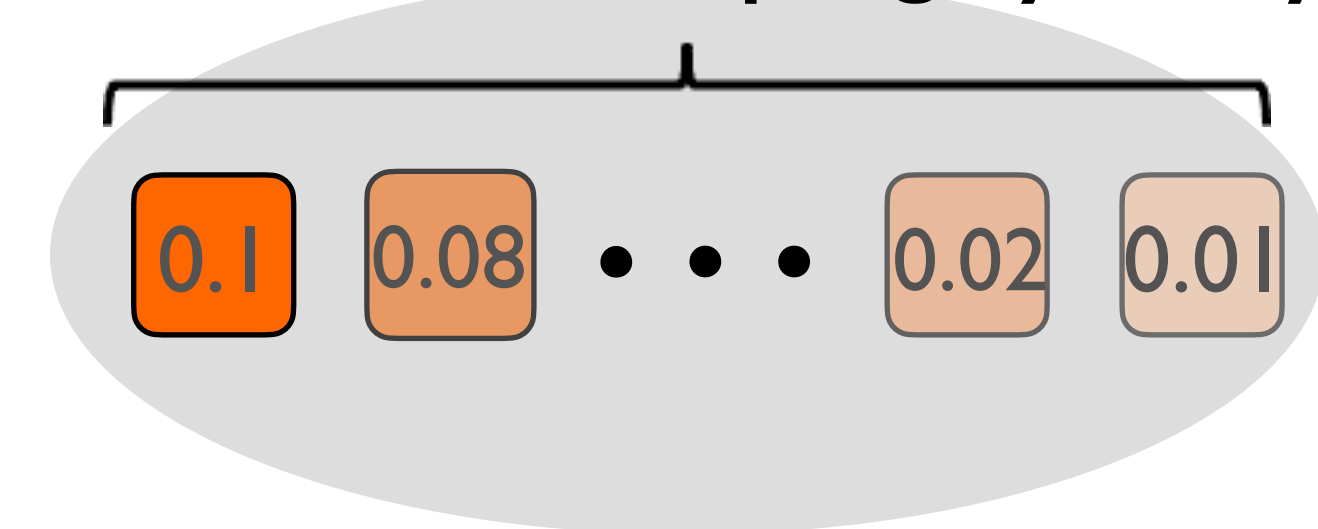
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2. **Probabilistic selection** by utility values

- Prioritize high-utility clients
- Robust to outliers and uncertainties

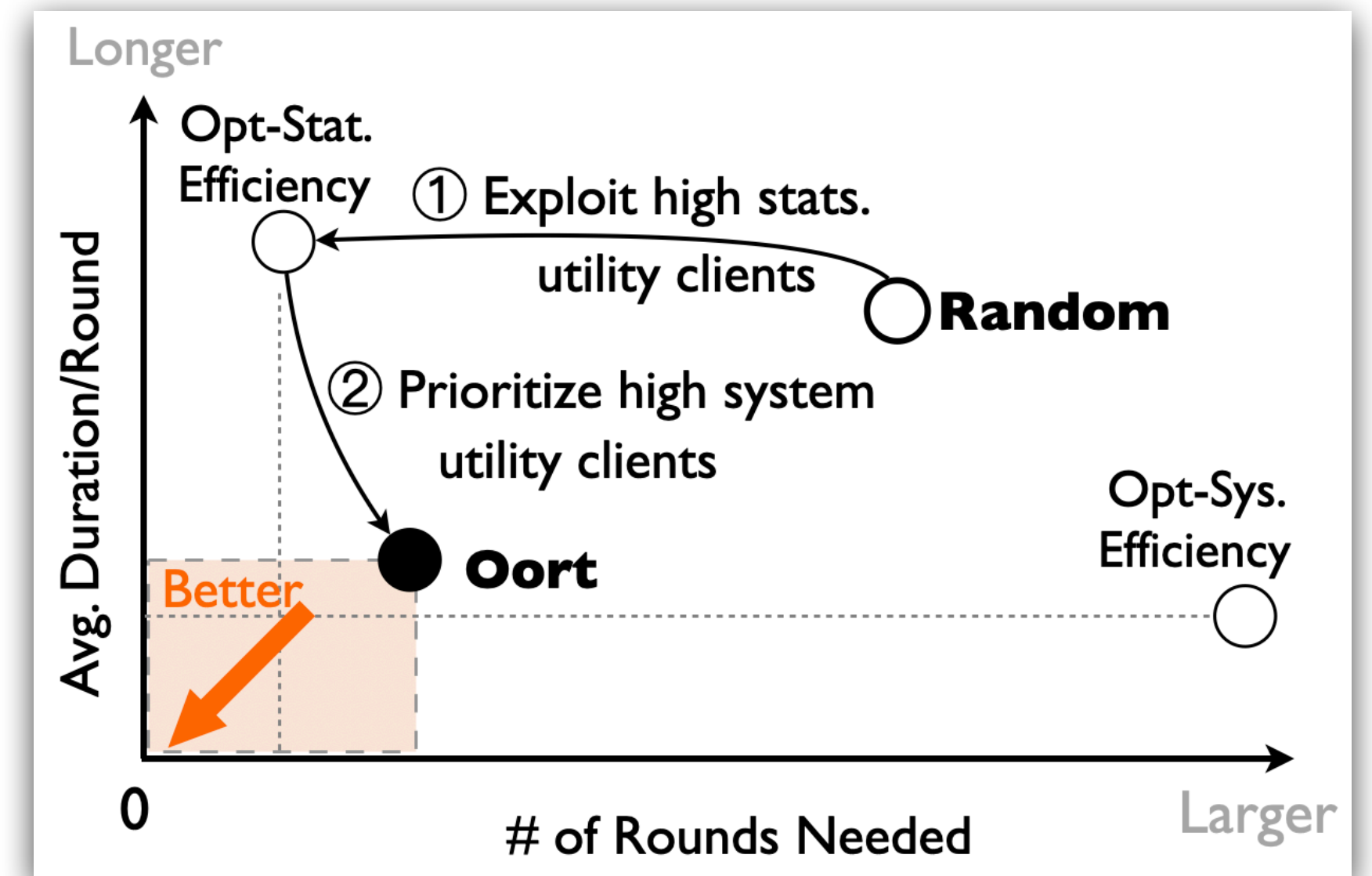
Probabilistic sampling by utility



**Exploited Clients**

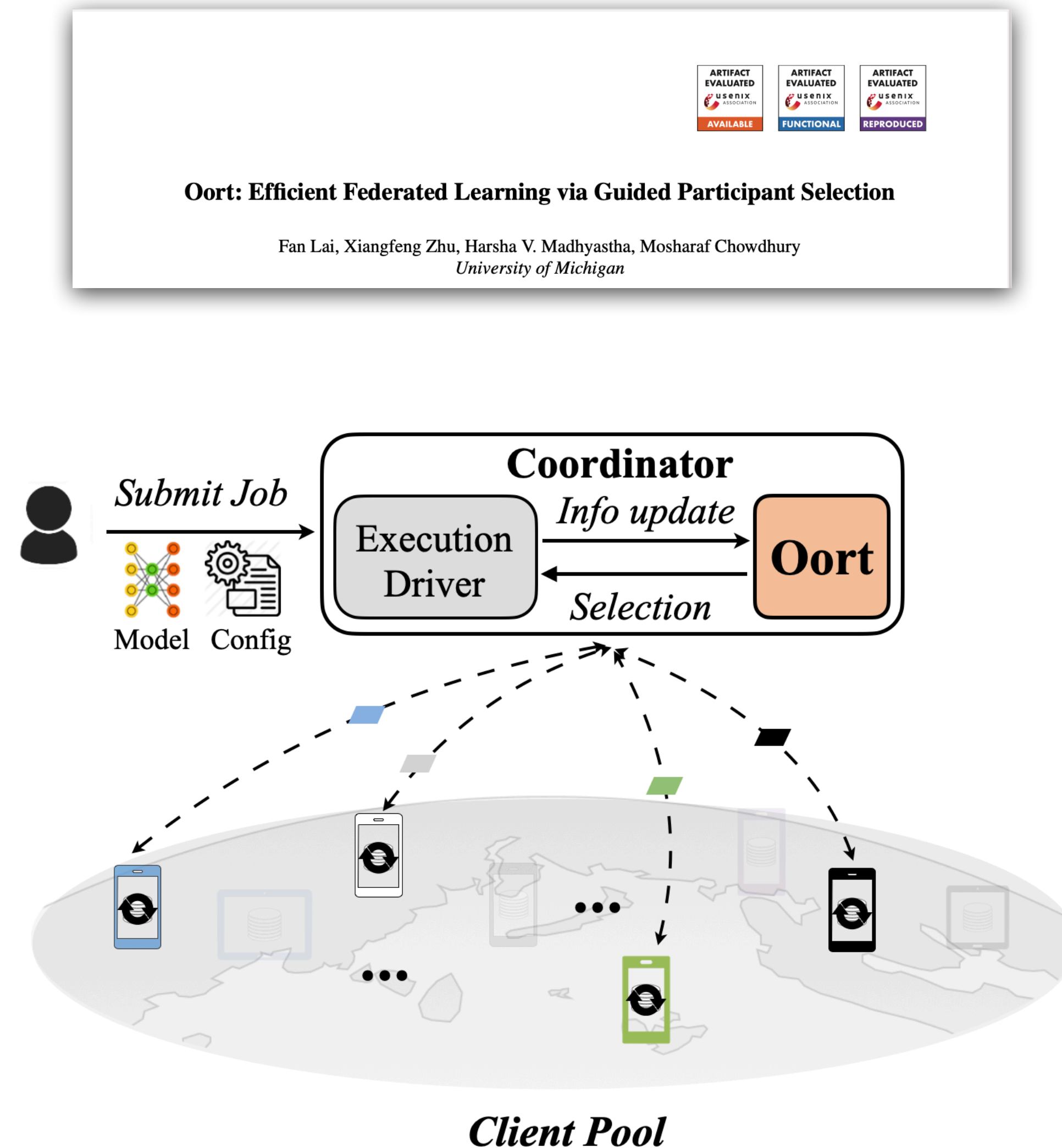
# More in Our Paper

- How to respect privacy
- How to be robust to corrupted clients
- How to enforce diverse selection criteria
  - Fairness, data distribution for **FL testing**



# Evaluation

Oort as a lib to support  
TensorFlow Federated / PySyft



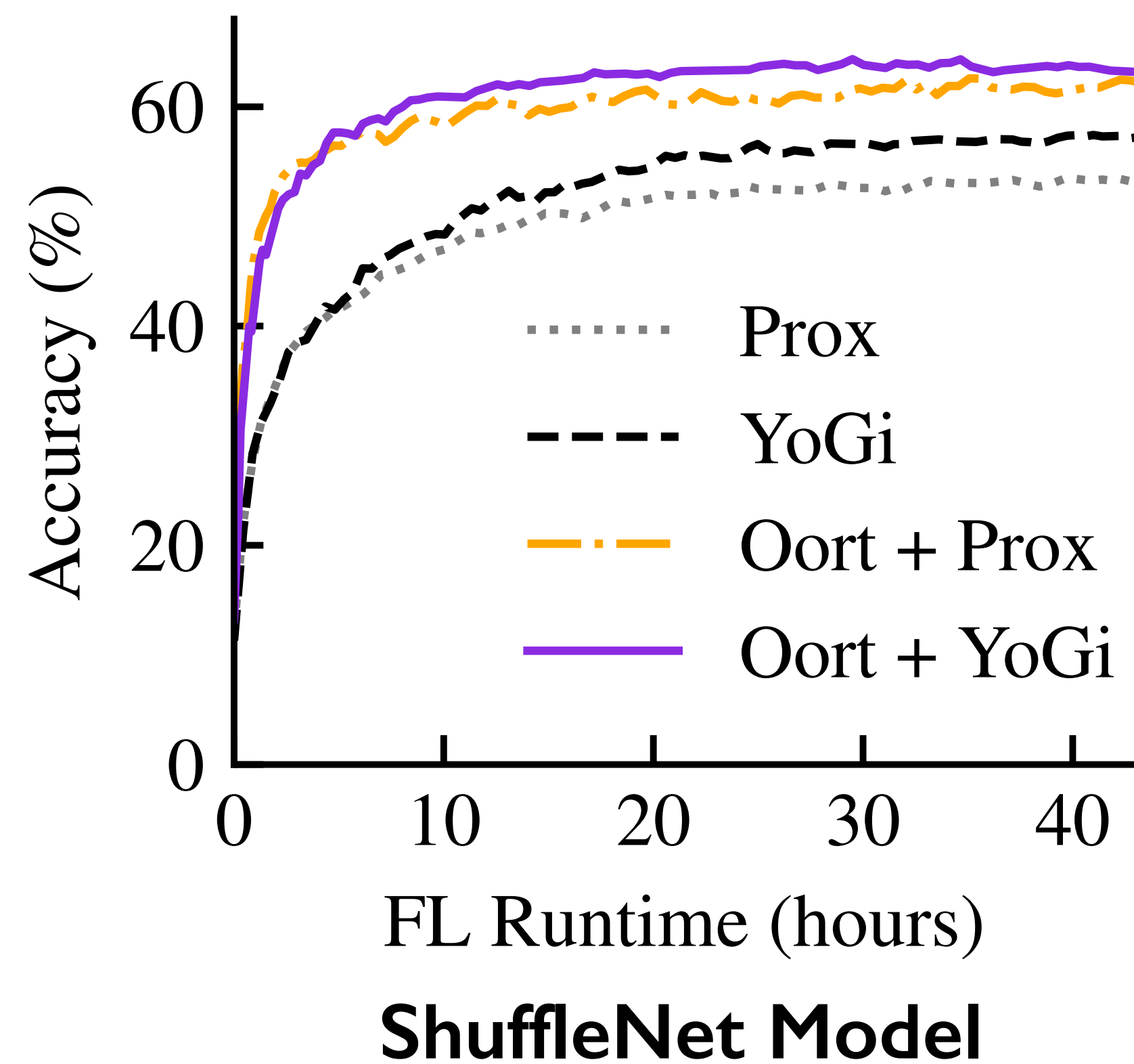
## Experiment setting

- Testbed w/ 68 GPUs
- **Realistic** FL Benchmark<sup>[1]</sup>
  - Heter. speed/data
  - Dynamics of devices
- 1300 participants/round

[1] [FedScale](#): Benchmarking Model and System Performance of Federated Learning

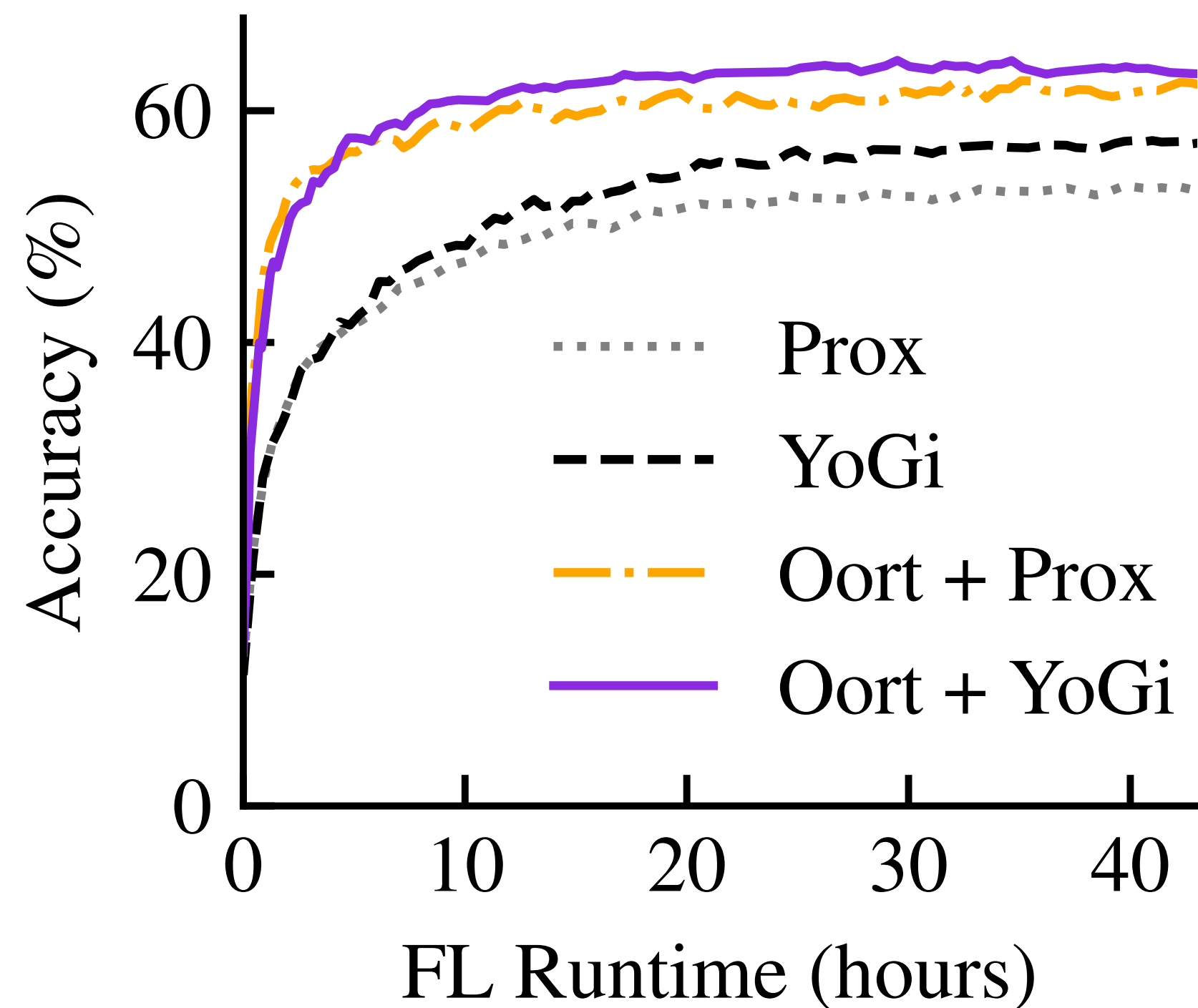
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Image classification (OpenImage dataset)



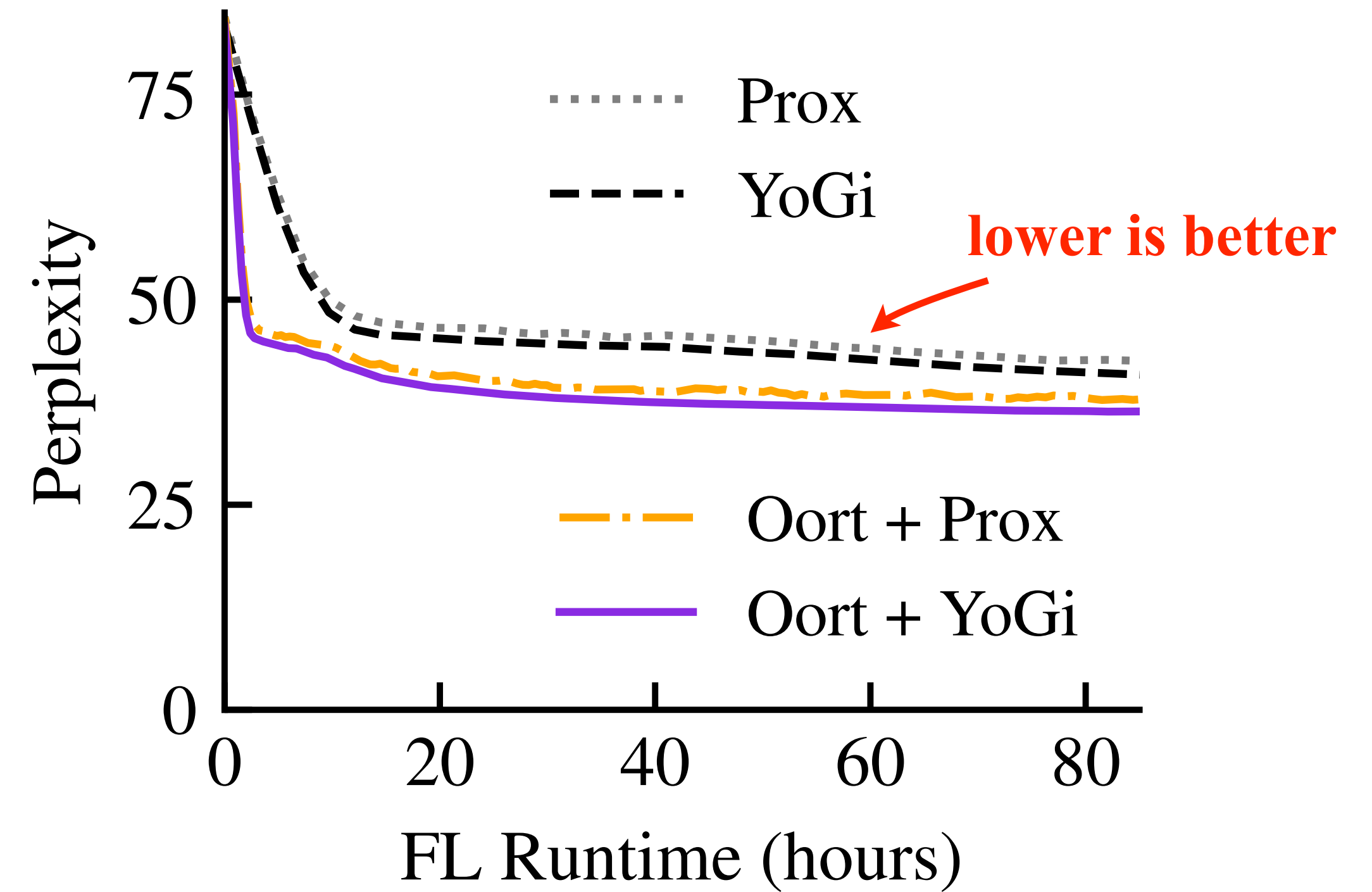
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ShuffleNet Model

Next-word prediction (Reddit Corpus)

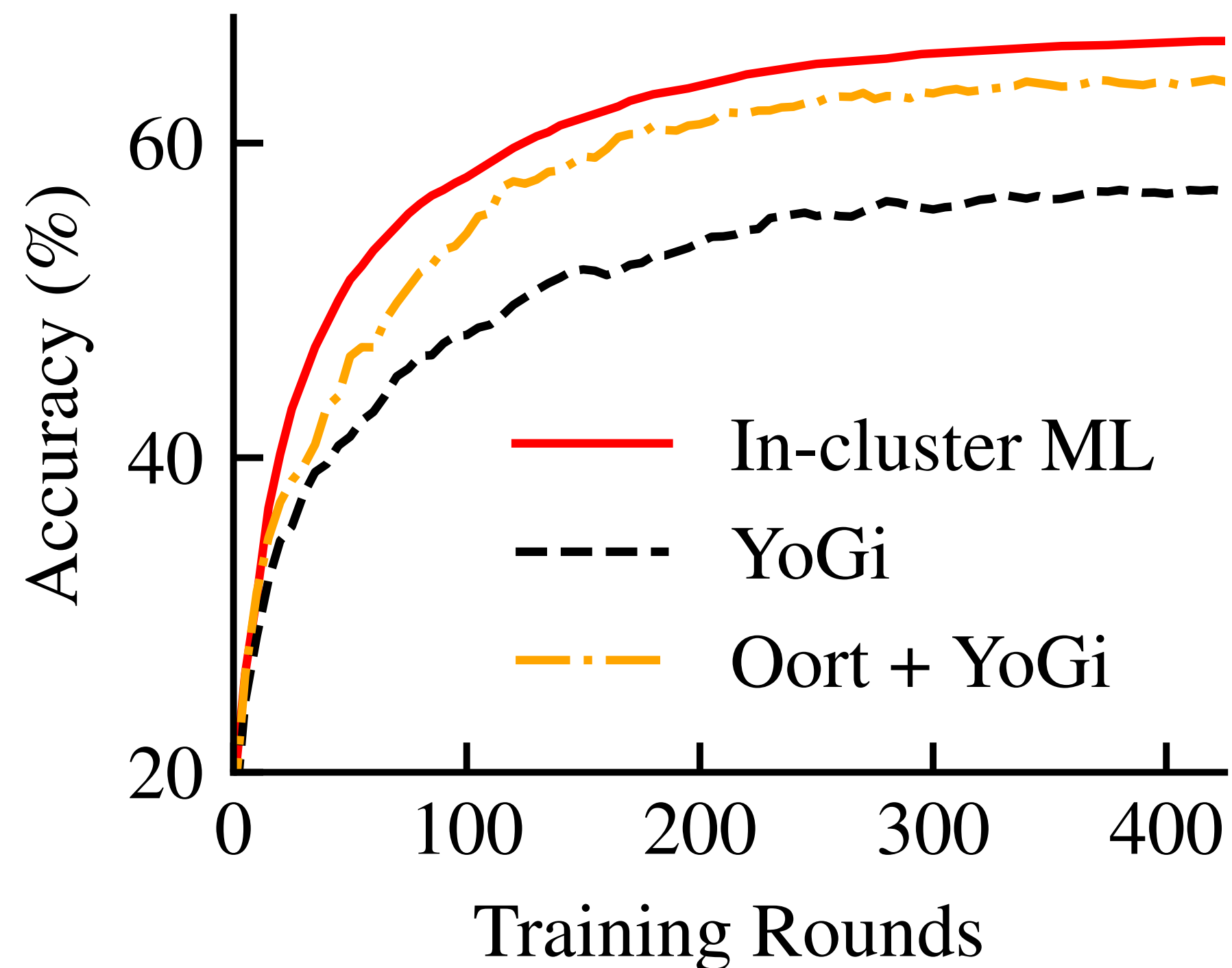


Albert Model

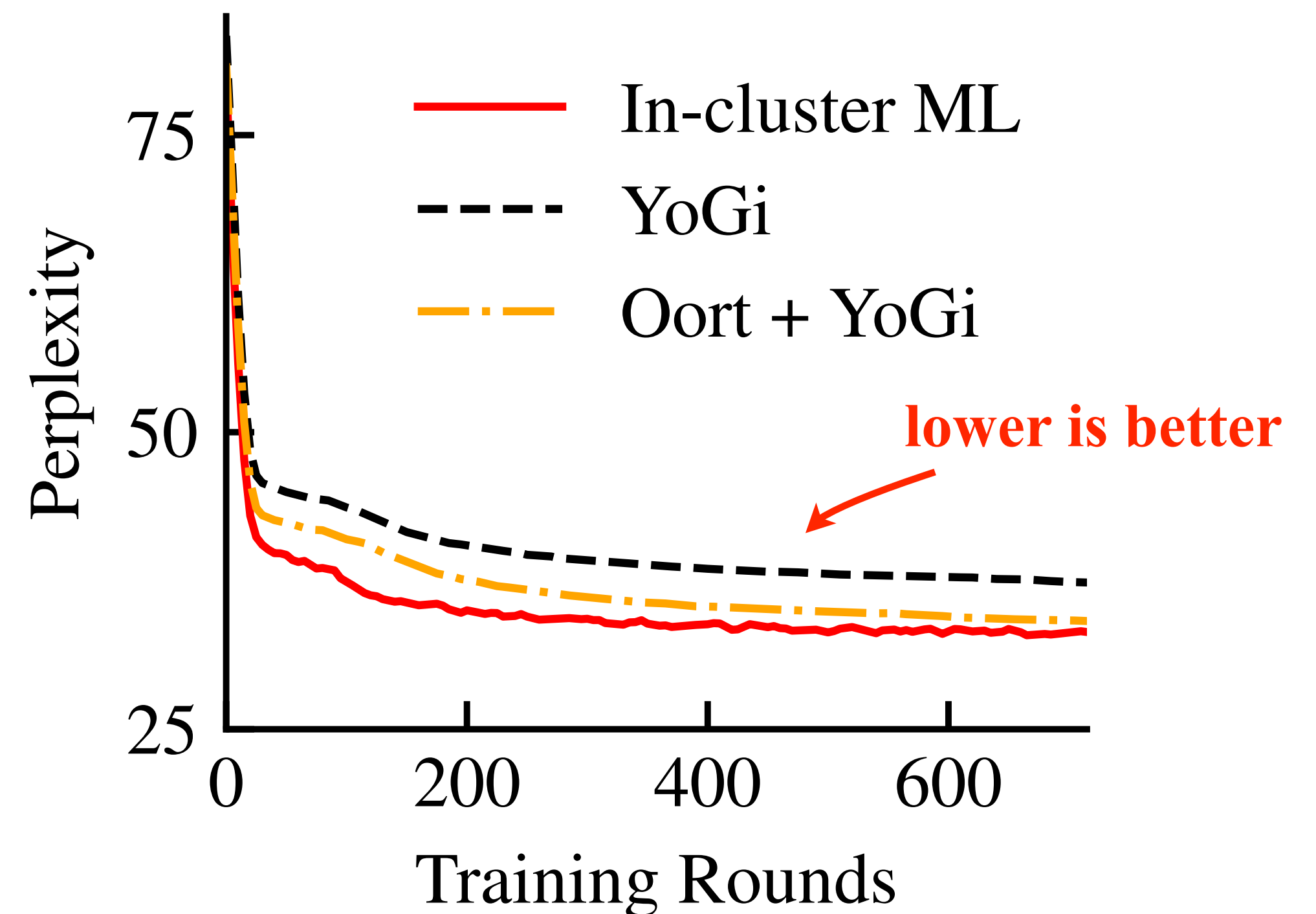
Oort improves **TTA** by 14X and **final accuracy** by 9%

# Zoom into Statistical Performance

Image classification (ShuffleNet Model)



Next-word prediction (Albert Model)



Oort achieves close to upper-bound statistical performance

# Oort

<https://github.com/SymbioticLab/Oort>

Participant selection framework for

- *Faster* convergence in *FL training*
- *Interpretable* data *selection* in *FL testing*

Client selection for { *utility-aware FL training w/ adaptive exploration-exploitation*  
*criteria-aware FL testing to enforce specified data selection*

Thank you!